# On the binary digits of algebraic numbers<sup>\*</sup>

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#### Abstract

Let  $\xi$  be a positive algebraic irrational number with binary representation  $\sum_{n=-\infty}^{\infty} s(\xi, n) 2^n$ ,  $s(\xi, n) \in \{0, 1\}$ . We derive new, improved lower bounds of the number  $\gamma(\xi, N)$  of digit changes defined by

 $\gamma(\xi, N) = \operatorname{Card}\{n \in \mathbb{Z} | n \ge -N, s(\xi, n) \neq s(\xi, n+1)\},\$ 

where Card denotes the cardinality. Let  $\varepsilon$  be an arbitrary positive number. Our main results show, for instance, that

$$\gamma\left(\frac{1}{\sqrt{3}},N\right) \ge \frac{1-\varepsilon}{\sqrt{2}}\sqrt{N}$$

for any integer N with  $N \ge C(1/\sqrt{3}, \varepsilon)$ , where  $C(1/\sqrt{3}, \varepsilon)$  is an effectively computable positive constant depending only on  $\varepsilon$ .

#### 1 Introduction

Borel [2] proved that almost all positive number  $\xi$  are normal in every integral base  $\alpha \geq 2$ . Namely, every string of l consecutive base- $\alpha$  digits occurs with average frequency tending to  $1/\alpha^l$  in the  $\alpha$ -ary expansion of such  $\xi$ . It is widely believed that all algebraic irrational numbers are normal in each integral base. However, very few is known on this problem, which was first formulated by Borel [3]. For instance it is still unknown whether, for  $\alpha \geq 3$ , the letter 1 occurs infinitely often in the  $\alpha$ -ary expansion of  $\sqrt{2}$ .

In this paper we study the binary expansions of algebraic irrational numbers. In what follows, let  $\mathbb{N}$  be the set of nonnegative integers and  $\mathbb{Z}_{\geq 1}$  the set of positive integers. Denote the integral and fractional parts of a real number  $\xi$  by  $[\xi]$  and  $\{\xi\}$ , respectively. Moreover, let  $\lceil \xi \rceil$  be the minimal integer not less than  $\xi$ . Then the binary expansion of a positive number  $\xi$  is written by

$$\xi = \sum_{n = -\infty}^{\infty} s(\xi, n) 2^n,$$

where

$$s(\xi, n) = [2^{-n}\xi] - 2[2^{-n-1}\xi] \in \{0, 1\}.$$

There are several ways to measure the complexity of the binary expansions of real numbers. First we introduce the block complexity. Let  $\beta(\xi, N)$  be the total number of distinct blocks of N digits in the binary expansion of  $\xi$ , that is,

 $\beta(\xi, N) = \operatorname{Card}\{(s(\xi, i+1), \dots, s(\xi, i+N)) \in \{0, 1\}^N | i \in \mathbb{Z}\},\$ 

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where Card denotes the cardinality. If  $\xi$  is normal in base 2, then  $\beta(\xi, N) = 2^N$  for any  $N \in \mathbb{Z}_{\geq 1}$ . Suppose that  $\xi$  is an algebraic irrational number. Bugeaud and Evertse [5] showed for any positive  $\delta$  with  $\delta < 1/11$  that

$$\limsup_{N \to \infty} \frac{\beta(\xi, N)}{N (\log N)^{\delta}} = \infty$$

Secondly, we consider the asymptotic behaviour of the number of digit changes in the binary expansions of real numbers  $\xi$ . Let N be an integer. The number  $\gamma(\xi, N)$  of digit changes, introduced in [4], is defined by

$$\gamma(\xi, N) = \operatorname{Card}\{n \in \mathbb{Z} | n \ge -N, s(\xi, n) \neq s(\xi, 1+n)\}.$$

Note that  $\gamma(\xi, N) < \infty$  since  $s(\xi, n) = 0$  for all sufficiently large  $n \in \mathbb{N}$ . Suppose again that  $\xi$  is an algebraic irrational number of degree  $D \ge 2$ . In [4] Bugeaud proved that

$$\lim_{N \to \infty} \frac{\gamma(\xi, N)}{\log N} = \infty$$

by using Ridout's theorem [8]. In the same paper, by using a quantitative version of Ridout's theorem [7], he showed that

$$\gamma(\xi, N) \ge 3(\log N)^{6/5} (\log \log N)^{-1/4}$$

for every sufficiently large  $N \in \mathbb{N}$ . Moreover, by improving the quantitative parametric subspace theorem from [6], Bugeaud and Evertse [5] verified the following: There exist an effectively computable absolute constant  $C_1 > 0$  and an effectively computable constant  $C_2(\xi) > 0$ , depending only on  $\xi$ , satisfying

$$\gamma(\xi, N) \ge C_1 \frac{(\log N)^{3/2}}{(\log(6D))^{1/2} (\log\log N)^{1/2}}$$

for any N with  $N \ge C_2(\xi)$ .

Note that if  $\xi$  is normal, then the word 10 occurs in the binary expansion of  $\xi$  with frequency 1/4. Thus, it is widely believed that the function  $\gamma(\xi, N)$  should grow linearly in N. The main purpose of this paper is to improve lower bounds of the function  $\gamma(\xi, N)$  for certain classes of algebraic irrational numbers. Now we state the main results.

**THEOREM 1.1.** Let  $\xi > 0$  be an algebraic irrational number with minimal polynomial  $A_D X^D + A_{D-1} X^{D-1} + \cdots + A_0 \in \mathbb{Z}[X]$ , where  $A_D > 0$ . Assume that there exists an odd prime number p which divides all coefficients  $A_D, A_{D-1}, \ldots, A_1$ , but not the constant term  $A_0$ . Let  $\varepsilon$  be an arbitrary positive number with  $\varepsilon < 1$  and r the minimal positive integer such that p divides  $(2^r - 1)$ . Then there exists an effectively computable positive constant  $C(\xi, \varepsilon)$ depending only on  $\xi$  and  $\varepsilon$  such that

$$\gamma(\xi, N) \ge (1 - \varepsilon) p^{1/D} r^{-1/D} A_D^{-1/D} N^{1/D}$$

for any integers N with  $N \ge C(\xi, \varepsilon)$ .

For instance, let A and D be positive integers such that  $A^{-1/D}$  is an irrational number of degree D. Assume that there is an odd prime p which divides A. Let  $\varepsilon$  be any positive number with  $\varepsilon < 1$  and r defined as in Theorem 1.1. Then, since the minimal polynomial of  $A^{-1/D}$  is  $AX^D - 1$ , by Theorem 1.1 we obtain

$$\gamma(A^{-1/D}, N) \ge (1 - \varepsilon)p^{1/D}r^{-1/D}A^{-1/D}N^{1/D}$$

for every integer  $N \ge C(A^{-1/D}, \varepsilon)$ . In the case where A = 3 and D = 2, we get p = 3 and r = 2. Hence

$$\gamma\left(\frac{1}{\sqrt{3}},N\right) \geq \frac{1-\varepsilon}{\sqrt{2}}\sqrt{N}$$

for each integer  $N \ge C(1/\sqrt{3}, \varepsilon)$ .

### 2 The number of nonzero digits

Let *n* be a nonnegative integer. Let  $\mu(n)$  be the number of nonzero digits in the binary expansion of *n*. For instance,  $\mu(0) = 0$  and  $\mu(2^l) = 1$ , for  $l \in \mathbb{N}$ . Bailey, Borwein, Crandall, and Pomerance [1] proved the convexity relations of the function  $\mu(n)$ . Namely, for any nonnegative integers *m* and *n*, we have

$$\mu(m+n) \leq \mu(m) + \mu(n), \qquad (2.1)$$
  
$$\mu(mn) \leq \mu(m)\mu(n).$$

Let h be a positive integer and S a subset of  $\mathbb{Z}$ . Then put

$$\overline{S} = S \cup \{-s|s \in S\},\$$
  
$$hS = \{s_1 + \dots + s_h|s_1, \dots, s_h \in S\}$$

For convenience, let  $0S = \{0\}$ . We consider the set  $\Lambda$  defined by

$$\Lambda = \{0\} \cup \{2^l | l \in \mathbb{N}\}.$$

For each integer n, put

$$\nu(n) = \min\{h \in \mathbb{N} | n \in h\overline{\Lambda}\}.$$

Then we have the following:

**LEMMA 2.1.** Let n be a nonzero integer and  $\lambda_1, \ldots, \lambda_{\nu(n)} \in \overline{\Lambda}$ . Assume that

$$\lambda_1 + \ldots + \lambda_{\nu(n)} = n.$$

Then, for any i with  $1 \leq i \leq \nu(n)$ ,

$$1 \le |\lambda_i|.$$

Moreover, for any i and j with  $1 \le i < j \le \nu(n)$ ,

 $|\lambda_i| \neq |\lambda_j|.$ 

*Proof.* If  $\lambda_i = 0$  for some *i*, then

$$n = \sum_{\substack{k=1\\k\neq i}}^{\nu(n)} \lambda_k \in (-1 + \nu(n))\overline{\Lambda},$$

which contradicts to the definition of  $\nu(n)$ . Next, assume that  $|\lambda_i| = |\lambda_j|$  for some *i* and *j* with  $1 \le i < j \le \nu(n)$ . Observe that

$$\lambda_i + \lambda_j \in \overline{\Lambda}.$$

Thus

$$n = \lambda_i + \lambda_j + \sum_{\substack{k=1\\k \neq i,j}}^{\nu(n)} \lambda_k \in (-1 + \nu(n))\overline{\Lambda},$$

which is a contradiction.

Suppose that  $n \in \mathbb{N}$ . Then, by the definition of the function  $\nu(n)$ , we get

$$0 \le \nu(n) = \nu(-n) \le \mu(n) \le n.$$

For instance, if  $n \ge 2$ , then

$$\mu(2^n - 1) = n, \nu(2^n - 1) = 2.$$

We now prove the convexity relations of the function  $\nu(n)$ .

**LEMMA 2.2.** Let m and n be integers. Then

$$\nu(m+n) \leq \nu(m) + \nu(n), \qquad (2.2)$$

$$\nu(mn) \leq \nu(m)\nu(n). \tag{2.3}$$

*Proof.* (2.2) is obvious by the definition of the function  $\nu(n)$ . We check (2.3). Put

$$a = \nu(m), b = \nu(n).$$

Without loss of generality we may assume that  $mn \neq 0$ . Namely,  $a, b \geq 1$ . Then there exist  $\lambda_1, \ldots, \lambda_a, \lambda'_1, \ldots, \lambda'_b \in \overline{\Lambda}$  such that

$$m = \sum_{i=1}^{a} \lambda_i, \ n = \sum_{j=1}^{b} \lambda'_j.$$

Note that, for any i and j with  $1 \leq i \leq a$  and  $1 \leq j \leq b$ ,  $\lambda_i \lambda'_j \in \overline{\Lambda}$ . Thus

$$mn = \sum_{i=1}^{a} \sum_{j=1}^{b} \lambda_i \lambda'_j \in ab\overline{\Lambda},$$

which implies (2.3).

**COROLLARY 2.3.** Let *m* and *n* be integers. Then  $|\nu(m+n) - \nu(m)| \le |n|.$ 

Proof. By Lemma 2.2, we get

$$\nu(m+n) - \nu(m) \le \nu(n) \le |n|$$

and

$$\nu(m) - \nu(m+n) \le \nu(-n) \le |n|.$$

We represent the function  $\nu(n)$  by using  $\mu(n)$ .

**LEMMA 2.4.** Let n be an integer. Then

$$\nu(n) = \min_{x \in \mathbb{N}} (\mu(|n| + x) + \mu(x)).$$
(2.4)

*Proof.* We denote the right-hand side of (2.4) by  $\overline{\nu}(n)$ . In the case of n = 0, (2.4) is trivial. Without loss of generality, we may assume that  $n \ge 1$  since  $\nu(n) = \nu(-n)$  for any  $n \in \mathbb{Z}$ . There exist  $b \in \mathbb{Z}_{\ge 1}$  with  $b \le \nu(n)$  and  $\lambda_1, \ldots, \lambda_{\nu(n)} \in \Lambda$  such that

$$n = \lambda_1 + \dots + \lambda_b - \lambda_{b+1} - \dots + \lambda_{\nu(n)}.$$

Let  $y = \lambda_{1+b} + \cdots + \lambda_{\nu(n)}$ . Note that if  $b = \nu(n)$ , then y = 0. By Lemma 2.1 we get

$$\mu(y) = \nu(n) - b$$

and

$$\mu(n+y) = \mu(\lambda_1 + \dots + \lambda_b) = b.$$

Hence

 $\nu(n) = \mu(n+y) + \mu(y) \ge \overline{\nu}(n).$ 

On the other hand, there exists  $x_0 \in \mathbb{N}$  such that

$$\mu(n+x_0) + \mu(x_0) = \overline{\nu}(n).$$

By using

$$n + x_0 \in \mu(n + x_0)\Lambda$$

and

$$x_0 \in \mu(x_0)\Lambda = (\overline{\nu}(n) - \mu(n+x_0))\Lambda,$$

we obtain

$$n = (n + x_0) - x_0 \in \overline{\nu}(n)\Lambda$$

consequently,

$$\nu(n) \le \overline{\nu}(n).$$

Therefore we verified the Lemma 2.4.

Let w be an integer and p an odd prime number. In the rest of this section, we give lower bounds of the value  $\nu([(2^N w)/p])$  for each  $N \in \mathbb{N}$ . We start with some simple observations about the number of nonzero digits of binary expansion. Let N, a, b be nonnegative integers with  $a \leq b$ . Put

$$\mu(a,b;N) = \operatorname{Card}\{i \in \mathbb{N} | s(N,i) \neq 0, a \le i \le b\}.$$

Then we have the following.

**LEMMA 2.5.** Let  $x, y \in \mathbb{N}$ . Suppose that s(x, a) = 0 and s(x, b) = 1 for some  $a, b \in \mathbb{N}$  with a < b. Then

$$\mu(a,b;x+y) + \mu(a,b;y) \ge 1.$$

*Proof.* Assume the contrary, namely, that

$$\mu(a, b; x + y) = \mu(a, b; y) = 0.$$

Let

$$x' = \sum_{i=0}^{b} s(x,i)2^{i}, \ y' = \sum_{i=0}^{b} s(y,i)2^{i}.$$

Since  $x' + y' \equiv x + y \mod 2^{1+b}$  and since  $y' \equiv y \mod 2^{1+b}$ ,

$$\mu(a,b;x'+y') = \mu(a,b;y') = 0.$$
(2.5)

Then, by s(x, a) = 0, s(x, b) = 1 and (2.5), we get

$$2^{b} \le x' \le \sum_{i=0}^{b} 2^{i} - 2^{a} < 2^{1+b} - 2^{a}$$
(2.6)

and

$$0 \le y' = \sum_{i=0}^{a-1} s(y,i)2^i < 2^a.$$

Hence, by combining (2.5) and  $x' + y' < 2^{1+b}$ , we obtain

$$x' + y' \le \sum_{i=0}^{a-1} 2^i < 2^a < 2^b$$

which contradicts to (2.6).

**LEMMA 2.6.** Let w be an integer and p an odd prime number. Assume that p does not divide w. Let r be the minimal positive integer such that p divides  $(2^r - 1)$ . Then

$$\nu\left(\left[\frac{2^N w}{p}\right]\right) \ge \frac{N}{r} - 3$$

for each  $N \in \mathbb{N}$  with  $N \geq 2r$ .

*Proof.* Let  $\eta = w/p$ . First we consider the case of  $w \ge 0$ . Since p divides  $(2^r - 1)$ , there exist  $F, G \in \mathbb{N}$  with  $0 \le G \le 2^r - 2$  such that

$$\eta = F + \frac{G}{2^r - 1}.$$

Since p does not divide w, we have  $G \ge 1$ . Let us denote the binary expansion of G by

$$G = 2^{r-1}g_{-1} + \dots + 2g_{-r+1} + g_{-r},$$

where  $g_{-1}, \ldots, g_{-r+1}, g_{-r} \in \{0, 1\}$ . Since  $1 \leq G \leq 2^r - 2$ ,  $g_{-i} = 1$  for some i with  $1 \leq i \leq r$  and  $g_{-j} = 0$  for some j with  $1 \leq j \leq r$ . The binary expansion of  $\eta$  is given by

$$\eta = F + \sum_{i=0}^{\infty} 2^{-(i+1)r} \sum_{j=1}^{r} g_{-j} 2^{r-j}$$
$$= F + \sum_{i=0}^{\infty} \sum_{j=1}^{r} g_{-j} 2^{-ir-j}.$$

Namely, for any i, j with  $1 \le j \le r$ , we have

$$s(\eta, -ir - j) = g_{-j}.$$

In particular, let m and n be positive integers such that  $m \equiv n \mod r$ . Then

$$s(\eta, -m) = s(\eta, -n).$$
 (2.7)

Let

$$e = \min\{n \ge 1 | s(\eta, -n) = 1\},\$$
  
$$f = \min\{n \ge e + 1 | s(\eta, -n) = 0\}.$$

Then, by (2.7) we get

$$e \le r, \ f \le e+r-1. \tag{2.8}$$

Let  $N \in \mathbb{N}$  with  $N \ge 2r$  and

$$j_0 = \left[\frac{N-e+1}{r}\right] \ge 1.$$

Note that

$$[2^N \eta] = \sum_{i=0}^{\infty} s(\eta, i - N) 2^i$$

and that, for any j with  $1 \le j \le j_0$ ,

$$N - e + 1 - jr \ge 0.$$

Let y be a nonnegative integer. Then

$$\mu([2^{N}\eta] + y) + \mu(y)$$

$$\geq \sum_{j=1}^{j_{0}} \left( \mu \left( N - e + 1 - jr, N - e - (j - 1)r; [2^{N}\eta] + y \right) + \mu \left( N - e + 1 - jr, N - e - (j - 1)r; y \right) \right)$$
(2.9)

Denote the right-hand side of (2.9) by  $\sum_{j=0}^{j_0} \Phi(j)$ . Let j be an integer with  $1 \leq j \leq j_0$ . Put

$$a = N - f - (j - 1)r, b = N - e - (j - 1)r.$$

By (2.7) we get

$$s([2^N \eta], a) = s(\eta, a - N) = s(\eta, -f) = 0$$
(2.10)

and

$$s([2^N \eta], b) = s(\eta, b - N) = s(\eta, -e) = 1.$$
(2.11)

By using (2.10), (2.11), and Lemma 2.5, and  $a \ge N - e + 1 - jr$ , we obtain

$$\Phi(j) \ge \mu(a, b; [2^N \eta] + y) + \mu(a, b; y) \ge 1.$$

Therefore, by combining the inequality above and (2.9), we conclude that

$$\mu([2^N \eta] + y) + \mu(y) \ge j_0 \ge \frac{N}{r} - 2.$$

Since y is an arbitrary nonnegative integer,

$$\nu([2^N\eta]) \ge \frac{N}{r} - 2$$

by Lemma 2.4.

Next, we assume that  $\eta < 0$ . Then, since  $2^N \eta \notin \mathbb{Z}$ , we have

$$[2^N \eta] = -[-2^N \eta] - 1.$$

Thus, by using Corollary 2.3 and lower bounds in the case of  $\eta \geq 0,$  we obtain

$$\nu([2^N \eta]) \ge \nu(-[-2^N \eta]) - 1 = \nu([-2^N \eta]) - 1 \ge \frac{N}{r} - 3.$$

# 3 Proof of Theorem 1.1

First we check the following.

**LEMMA 3.1.** Let  $\eta_1, \eta_2$  be real numbers. (1)

$$|[\eta_1 + \eta_2] - ([\eta_1] + [\eta_2])| \le 1.$$

(2)

$$|[\eta_1 - \eta_2] - ([\eta_1] - [\eta_2])| \le 1.$$

*Proof.* We have

$$[\eta_1 + \eta_2] - ([\eta_1] + [\eta_2]) = -\{\eta_1 + \eta_2\} + \{\eta_1\} + \{\eta_2\}.$$

Thus we may assume that  $0 \leq \eta_1, \eta_2 < 1$ . Hence

$$[\eta_1 + \eta_2] - ([\eta_1] + [\eta_2]) = \eta_1 + \eta_2 - \{\eta_1 + \eta_2\} = [\eta_1 + \eta_2] \in \{0, 1\},$$

which implies the first statement. Since

$$|[\eta_1 - \eta_2] - ([\eta_1] - [\eta_2])| = |[\eta_1] - ([\eta_1 - \eta_2] + [\eta_2])|,$$

the second statement follows from the first.

We study the relations between the number  $\gamma(\xi, N)$  of digit changes and the value  $\nu([2^N \xi^h])$  for  $h \in \mathbb{Z}_{\geq 1}$  and  $N \in \mathbb{N}$ .

**LEMMA 3.2.** Let  $\xi$  be a positive number. Then, for any  $h \in \mathbb{Z}_{\geq 1}$  and  $N \in \mathbb{N}$ ,

$$\nu([2^N \xi^h]) \le \left(\gamma(\xi, N) + 1\right)^h + 2^{h+1} \max\{1, \xi^h\}.$$

Proof. First we prove

$$\nu([2^N \xi]) \le \gamma(\xi, N) + 1. \tag{3.1}$$

Since

$$2^{N}\xi = \sum_{n=-\infty}^{\infty} s(\xi, n-N)2^{n},$$

we get

$$\gamma(\xi, N) = \gamma(\xi 2^N, 0).$$

We denote this number by  $\tau$ . Let

$$\{n \in \mathbb{N} | s(\xi, n - N) \neq s(\xi, 1 + n - N)\} =: \{0 \le t_1 < t_2 < \dots < t_\tau\}.$$

Then

$$[2^{N}\xi] = \sum_{n=0}^{t_{\tau}} s(\xi, n-N)2^{n}$$
  
=  $s(\xi, t_{1}-N) \sum_{n=0}^{t_{1}} 2^{n} + \sum_{i=2}^{\tau} s(\xi, t_{i}-N) \sum_{n=1+t_{i-1}}^{t_{i}} 2^{n}$ 

Note that

$$\nu\left(\sum_{n=0}^{t_1} 2^n\right) = \nu(2^{1+t_1} - 1) \le 2 \tag{3.2}$$

and that, for any *i* with  $2 \le i \le \tau$ ,

$$\nu\left(\sum_{n=1+t_{i-1}}^{t_i} 2^n\right) = \nu(2^{1+t_i} - 2^{1+t_{i-1}}) \le 2.$$
(3.3)

By using (3.2), (3.3) and Lemma 2.2, we obtain

$$\nu([2^N\xi]) \le 2\sum_{i=1}^{\tau} s(\xi, t_i - N).$$

By the definition of  $t_1, \ldots, t_{\tau}$ , we have

$$(s(\xi, t_i - N), s(\xi, t_{1+i} - N)) \in \{(0, 1), (1, 0)\}.$$

Hence

$$\nu([2^N\xi]) \le 2\left[\frac{\tau}{2}\right] \le \tau + 1,$$

which implies (3.1).

Next suppose that  $h \ge 2$ . Put

$$\xi_1 = \sum_{n=-N}^{\infty} s(\xi, n) 2^n, \ \xi_2 = \sum_{n=-\infty}^{-N-1} s(\xi, n) 2^n.$$

Note that  $2^N \xi_1 \in \mathbb{Z}$ . We have

$$2^{N}\xi^{h} = 2^{N}(\xi_{1} + \xi_{2})^{h} = 2^{N}\xi_{1}^{h} + 2^{N}\sum_{i=1}^{h} \binom{h}{i}\xi_{1}^{h-i}\xi_{2}^{i},$$

and so, by Lemma 3.1,

$$[2^{N}\xi^{h}] \leq [2^{N}\xi_{1}^{h}] + \left[2^{N}\sum_{i=1}^{h} \binom{h}{i}\xi_{1}^{h-i}\xi_{2}^{i}\right] + 1.$$

Hence by Corollary 2.3, we get

$$\nu([2^N\xi^h]) \le \nu([2^N\xi_1^h]) + \left[2^N \sum_{i=1}^h \binom{h}{i} \xi_1^{h-i} \xi_2^i\right] + 1.$$
(3.4)

In what follows we estimate upper bounds of the right-hand side of (3.4). By (3.1) and Lemma 2.2,

$$\nu(2^{hN}\xi_1^h) \le \nu(2^N\xi_1)^h = \nu([2^N\xi])^h \le (\tau+1)^h.$$

By the inequality above and Lemma 2.1, there exist  $a, b \in \mathbb{N}$  with  $a+b \leq (\tau+1)^h$ and  $l_1, \ldots, l_a, k_1, \ldots, k_b \in \mathbb{N}$  satisfying the following:

$$l_1 < \dots < l_a, \ k_1 < \dots < k_b;$$

$$2^{hN} \xi_1^h = \sum_{i=1}^a 2^{l_i} - \sum_{j=1}^b 2^{k_j}.$$
(3.5)

Let

$$\theta_1 = \sum_{\substack{1 \le i \le a \\ l_i \ge (h-1)N}} 2^{l_i - (h-1)N} - \sum_{\substack{1 \le j \le b \\ k_j \ge (h-1)N}} 2^{k_j - (h-1)N},$$
  
$$\theta_2 = \sum_{\substack{1 \le i \le a \\ l_i < (h-1)N}} 2^{l_i - (h-1)N} - \sum_{\substack{1 \le j \le b \\ k_j < (h-1)N}} 2^{k_j - (h-1)N}.$$

Then  $\theta_1 \in \mathbb{Z}$  and

$$\theta_1 + \theta_2 = 2^N \xi_1^h. \tag{3.6}$$

By (3.5) we have

$$\sum_{\substack{1 \le i \le a \\ l_i < (h-1)N}} 2^{l_i - (h-1)N} < \sum_{i=1}^{\infty} 2^{-i} = 1$$

and

$$\sum_{\substack{1 \le j \le b \\ k_j < (h-1)N}} 2^{k_j - (h-1)N} < 1.$$

Thus

$$|\theta_2| < 1. \tag{3.7}$$

By combining (3.6) and (3.7), we obtain

$$|[2^N \xi_1^h] - \theta_1| \le 1.$$

Hence by Corollary 2.3

$$\nu([2^N \xi_1^h]) \leq \nu(\theta_1) + 1 \\ \leq a + b + 1 \leq (\tau + 1)^h + 1.$$
(3.8)

Moreover, since  $\xi_1 \leq \xi$  and since  $\xi_2 \leq 2^{-N}$ ,

$$\begin{bmatrix} 2^{N} \sum_{i=1}^{h} {h \choose i} \xi_{1}^{h-i} \xi_{2}^{i} \end{bmatrix} \leq \sum_{i=0}^{h} {h \choose i} \max\{1, \xi^{h}\} \\ = 2^{h} \max\{1, \xi^{h}\}.$$
(3.9)

By combining (3.4), (3.8), and (3.9), we conclude that

$$\nu([2^N \xi^h]) \leq (\tau+1)^h + 2^h \max\{1,\xi^h\} + 2 \\ \leq (\tau+1)^h + 2^{1+h} \max\{1,\xi^h\}.$$

Now we verify Theorem 1.1. Let  $A'_i = A_i/p$  for i = 1, 2, ..., D. Then we have

$$\sum_{h=1}^{D} A_{h}^{\prime} 2^{N} \xi^{h} = -\frac{2^{N} A_{0}}{p}$$

for each  $N \in \mathbb{N}$ . By Lemma 2.6 we get

$$\nu\left(\left[-\frac{2^N A_0}{p}\right]\right) \ge \frac{N}{r} - 3. \tag{3.10}$$

On the other hand, by Lemma 3.1

$$\left| \left[ \sum_{h=1}^{D} A'_{h} 2^{N} \xi^{h} \right] - \sum_{h=1}^{D} A'_{h} [2^{N} \xi^{h}] \right| \le \sum_{h=1}^{D} |A'_{h}|.$$

Hence, by using Corollary 2.3, and Lemmas 2.2 and 3.2, we get

$$\nu\left(\left[-\frac{2^{N}A_{0}}{p}\right]\right) = \nu\left(\left[\sum_{h=1}^{D}A_{h}'2^{N}\xi^{h}\right]\right) \le \nu\left(\sum_{h=1}^{D}A_{h}'[2^{N}\xi^{h}]\right) + \sum_{h=1}^{D}|A_{h}'|$$
$$\le \sum_{h=1}^{D}|A_{h}'|\left(\nu([2^{N}\xi^{h}]) + 1\right)$$
$$\le \sum_{h=1}^{D}|A_{h}'|\left(\left(\gamma(\xi, N) + 1\right)^{h} + 2^{h+1}\max\{1, \xi^{h}\} + 1\right).(3.11)$$

By combining (3.10) and (3.11), we obtain, for every nonnegative integer n,

$$N \le P(\gamma(\xi, N)), \tag{3.12}$$

where  $P(X) \in \mathbb{R}[X]$  is a polynomial of degree D with leading coefficient  $rA'_D$ . Thus, for any positive number R, there is an effectively computable positive constant  $C'(\xi, R)$  depending only on  $\xi$  and R such that

$$\gamma(\xi, N) \ge R$$

for any integer N with  $N \ge C'(\xi, R)$ . Let  $\varepsilon$  be an arbitrary positive number with  $\varepsilon < 1$ . Put

$$\delta := -1 + (1 - \varepsilon)^{-D} > 0.$$

By (3.12), there exists an effectively computable positive constant  $C(\xi, \varepsilon)$  depending only on  $\xi$  and  $\varepsilon$  such that, for every integer N with  $N \ge C(\xi, \varepsilon)$ ,

$$N \le (1+\delta)rA'_D\gamma(\xi, N)^D,$$

namely,

$$(1-\varepsilon)p^{1/D}r^{-1/D}A_D^{-1/D} \le \gamma(\xi, N).$$

Therefore we proved Theorem 1.1.

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