

# On normal numbers and powers of algebraic numbers \*

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## Abstract

Let  $\alpha > 1$  be an algebraic number and  $\xi > 0$ . Denote the fractional parts of  $\xi\alpha^n$  by  $\{\xi\alpha^n\}$ . In this paper, we estimate a lower bound of the occurrence  $\lambda_N(\alpha, \xi)$  of integers  $n$  with  $0 \leq n < N$  and

$$\{\xi\alpha^n\} \geq \min \left\{ \frac{1}{L_+(\alpha)}, \frac{1}{L_-(\alpha)} \right\}. \text{ (see (1.2))}$$

Our results show, for example, the following; Let  $\alpha$  be an algebraic integer with Mahler measure  $M(\alpha)$  and  $\xi > 0$  an algebraic number with  $\xi \notin \mathbf{Q}(\alpha)$ . Put  $[\mathbf{Q}(\alpha, \xi) : \mathbf{Q}(\alpha)] = D$ . Then there exists an absolute constant  $c$  satisfying

$$\lambda_N(\alpha, \xi) \geq c \frac{(\log \alpha)^2}{(\log M(\alpha))^2 (\log(6D))^{1/2}} \frac{(\log N)^{3/2}}{(\log \log N)^{1/2}}$$

for all large  $N$ .

## 1 Introduction

A normal number in an integer base  $\alpha$  is a positive number for which all finite words with letters from the alphabet  $\{0, 1, \dots, \alpha - 1\}$  occur with the proper frequency. It is easily checked that a positive number  $\xi$  is a normal number in base  $\alpha$  if and only if the sequence  $\xi\alpha^n$  ( $n = 0, 1, \dots$ ) is uniformly distributed modulo 1. Borel [6] proved that almost all positive  $\xi$  are normal numbers in every integer base. Moreover, Koksma [16] showed that if any real number  $\alpha > 1$  is given, then the sequence  $\xi\alpha^n$  ( $n = 0, 1, \dots$ ) is uniformly distributed modulo 1 for almost all positive  $\xi$ , which is a generalization of Borel's result. However, it is generally difficult to check a given geometric sequence is uniformly distributed modulo 1 or not. For instance, we even do not know whether the numbers  $\sqrt{2}$ ,  $\sqrt[3]{5}$  and  $\pi$  are normal in base 10.

Borel [7] conjectured that each algebraic irrational number is normal in every integer base. However, we know no such number whose normality was proved. We now introduce some partial results.

Let  $\alpha$  be a natural number greater than 1 and  $\xi$  a positive algebraic irrational number. For simplicity, assume that  $\xi < 1$ . Write its  $\alpha$ -ary expansion by

$$\xi = \sum_{i=-\infty}^{-1} s_i(\xi)\alpha^i = .s_{-1}(\xi)s_{-2}(\xi)\cdots$$

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\*2000 Mathematics Subject Classification : 11J71, 11J13, 11J61, 11K16

with  $s_i(\xi) \in \{0, 1, \dots, \alpha - 1\}$ . First, we measure the complexity of the infinite word  $\mathbf{s} = s_{-1}(\xi)s_{-2}(\xi)\cdots$  by the number  $p(N)$  of distinct blocks of length  $N$  appearing in the word  $\mathbf{s}$ . If  $\xi$  is normal in base  $\alpha$ , then  $p(N) = \alpha^N$  for any positive  $N$ . Ferenczi and Mauduit [13] showed that

$$\lim_{N \rightarrow \infty} (p(N) - N) = \infty.$$

Adamczewski and Bugeaud [1] improved their results as follows:

$$\lim_{N \rightarrow \infty} \frac{p(N)}{N} = \infty.$$

Moreover, Bugeaud and Evertse [10] showed for any positive  $\xi$  with  $\eta < 1/11$  that

$$\limsup_{N \rightarrow \infty} \frac{p(N)}{N(\log N)^\eta} = \infty.$$

Bugeaud and Evertse [10] gave a lower bound of the number  $\text{ch}(N)$  of digit changes among the first  $(N + 1)$  digits of the  $\alpha$ -ary expansion of  $\xi$ . Namely,

$$\text{ch}(N) = \text{Card}\{i \in \mathbb{N} | 1 \leq i \leq N, s_{-i}(\xi) \neq s_{-i-1}(\xi)\},$$

where  $\text{Card}$  denotes the cardinality. They showed for an algebraic irrational  $\xi > 0$  of degree  $D (\geq 2)$  that there exist an effectively computable absolute constant  $c_1$  and an effectively computable constant  $c_2(\alpha, \xi)$ , depending only on  $\alpha$  and  $\xi$ , satisfying

$$\text{ch}(N) \geq c_1 \frac{(\log N)^{3/2}}{(\log 6D)^{1/2}(\log \log N)^{1/2}}$$

for any  $N$  with  $N \geq c_2(\alpha, \xi)$ .

Next, we count the number  $\lambda_N(\alpha, \xi)$  of nonzero digits among the first  $N$  digits of the  $\alpha$ -ary expansion of  $\xi$ , where

$$\lambda_N(\alpha, \xi) = \text{Card}\{i \in \mathbb{N} | 1 \leq i \leq N, s_{-i}(\xi) \neq 0\}. \quad (1.1)$$

Let  $\xi$  be an algebraic irrational number of degree  $D$  with  $1 < \xi < 2$ . In the case of  $\alpha = 2$ , Bailey, Borwein, Crandall, and Pomerance [4] showed that an arbitrary positive  $\varepsilon$  is given, then

$$\lambda_N(\alpha, \xi) > (1 - \varepsilon)(2A_D)^{-1/D} N^{1/D}$$

for all sufficiently large  $N$ , where  $A_D (> 0)$  is the leading coefficient of the minimal polynomial of  $\xi$ . Moreover, in the same way as the proof of the inequality above, we can show for any natural number  $\alpha \geq 2$  that there exist a positive constant  $c_3(\alpha, \xi)$  depending only on  $\alpha$  and  $\xi$  satisfying

$$\lambda_N(\alpha, \xi) \geq c_3(\alpha, \xi) N^{1/D}$$

for every sufficiently large  $N$ .

In what follows, we consider the fractional parts of geometric progressions whose common ratios are algebraic numbers. Let  $\alpha > 1$  be an algebraic number

with minimal polynomial  $a_d X^d + a_{d-1} X^{d-1} + \dots + a_0 \in \mathbb{Z}[X]$ , where  $a_d > 0$  and  $\gcd(a_d, a_{d-1}, \dots, a_0) = 1$ . Put

$$L_+(\alpha) = \sum_{a_i > 0} a_i, \quad L_-(\alpha) = \sum_{a_i \leq 0} |a_i|. \quad (1.2)$$

Moreover, write the Mahler measure of  $\alpha$  by

$$M(\alpha) = a_d \prod_{k=1}^d \max\{1, |\alpha_k|\},$$

where  $\alpha_1 = \alpha, \alpha_2, \dots, \alpha_d$  are the conjugates of  $\alpha$ . We now recall the definition of a Pisot and Salem number. A Pisot number is an algebraic integer greater than 1 whose conjugates different from itself have absolute values strictly less than 1. A Salem number is an algebraic integer greater than 1 which has at least one conjugate with modulus 1 and exactly one conjugate outside the unit circle. Take a positive number  $\xi$ . If  $\alpha$  is a Pisot or Salem number, then assume  $\xi \notin \mathbb{Q}(\alpha)$ . Dubickas [11] showed for infinitely many  $n \geq 1$  that

$$\{\xi \alpha^n\} \geq \min \left\{ \frac{1}{L_+(\alpha)}, \frac{1}{L_-(\alpha)} \right\},$$

where  $\{\xi \alpha^n\}$  means the fractional part of  $\xi \alpha^n$ . In what follows we estimate the number of such  $n$ , namely, we give a lower bound of the number

$$\lambda_N(\alpha, \xi) = \text{Card} \left\{ n \in \mathbb{Z} \mid 0 \leq n < N, \{\xi \alpha^n\} \geq \min \left\{ \frac{1}{L_+(\alpha)}, \frac{1}{L_-(\alpha)} \right\} \right\}. \quad (1.3)$$

(1.3) is generalization of (1.1). In fact, assume that  $\alpha$  is a natural number greater than 1 and that  $\xi$  is a positive number with  $\xi < 1$ . Then, for  $n \geq 0$ ,

$$\{\xi \alpha^n\} \geq \min \left\{ \frac{1}{L_+(\alpha)}, \frac{1}{L_-(\alpha)} \right\} = \frac{1}{\alpha}$$

if and only if the  $(n+1)$ -th digit of  $\alpha$ -ary expansion of  $\xi$  is nonzero.

Dubickas's result above implies

$$\lim_{N \rightarrow \infty} \lambda_N(\alpha, \xi) = \infty.$$

He verified this by showing that, for infinitely many  $n \geq 0$ ,

$$s_{-n}(\xi) \neq 0,$$

where  $s_{-n}(\xi)$  will be defined in Section 2. Moreover, in the same way as that of Theorem 3 of [11], we can show the following: Assume that  $\alpha$  has at least one conjugate different from itself outside the unit circle. Then

$$\liminf_{N \rightarrow \infty} \frac{\lambda_N(\alpha, \xi)}{\log N} \geq \left( \log \left( \frac{\log M(\alpha)}{\log M(\alpha) - \log(a_d \alpha)} \right) \right)^{-1}. \quad (1.4)$$

At the beginning of Section 5, we give another proof of (1.4). In this paper we improve this estimation in the case where  $\alpha > 1$  and  $\xi > 0$  are algebraic numbers with  $\xi \notin \mathbb{Q}(\alpha)$  by using a version of the quantitative parametric subspace theorem of Bugeaud and Evertse [10]. First, we consider the case where  $\alpha > 1$  is an algebraic integer.

**THEOREM 1.1.** *Let  $\alpha > 1$  be an algebraic integer with Mahler measure  $M(\alpha)$ . Let  $\xi$  be a positive number with  $\xi \notin \mathbb{Q}(\alpha)$ . Put*

$$D = [\mathbb{Q}(\alpha, \xi) : \mathbb{Q}(\alpha)].$$

*Then there exists an effectively computable absolute constant  $c > 0$  such that*

$$\lambda_N(\alpha, \xi) \geq c \frac{(\log \alpha)^2}{(\log M(\alpha))^2 (\log(6D))^{1/2}} \frac{(\log N)^{3/2}}{(\log \log N)^{1/2}}$$

*for every sufficiently large  $N$ .*

Next we give a lower bound of  $\lambda_N(\alpha, \xi)$  in the case where  $\alpha > 1$  is not an algebraic integer.

**THEOREM 1.2.** *Let  $\alpha > 1$  be an algebraic number of degree  $d$  with Mahler measure  $M(\alpha)$ . We denote the leading coefficient of the minimal polynomial of  $\alpha$  by  $a_d (\geq 1)$ . Let  $\xi$  be a positive algebraic number with  $\xi \notin \mathbb{Q}(\alpha)$ . Assume that  $\alpha$  is not an algebraic integer. Then*

$$\liminf_{N \rightarrow \infty} \frac{\lambda_N(\alpha, \xi)}{\log N} \geq \left( \log \left( \frac{\log M(\alpha)}{\log M(\alpha) - \log a_d} \right) \right)^{-1}.$$

Theorem 1.2 gives an improvement of (1.4) since

$$\left( \log \left( \frac{\log M(\alpha)}{\log M(\alpha) - \log a_d} \right) \right)^{-1} > \left( \log \left( \frac{\log M(\alpha)}{\log M(\alpha) - \log(a_d \alpha)} \right) \right)^{-1}.$$

We introduce a numerical example in the case of  $\alpha = 4 + 1/\sqrt{2}$ . The minimal polynomial of  $\alpha$  is  $2X^2 - 16X + 31$ , so we have  $a_d = 2$ ,  $M(\alpha) = 31$ , and

$$\min\left\{\frac{1}{L_+(\alpha)}, \frac{1}{L_-(\alpha)}\right\} = \min\left\{\frac{1}{33}, \frac{1}{16}\right\} = \frac{1}{33}.$$

Note that the conjugate of  $\alpha$  is greater than 1. Thus by (1.4), for any positive  $\xi$ ,

$$\liminf_{N \rightarrow \infty} \frac{\lambda_N(4 + 1/\sqrt{2}, \xi)}{\log N} \geq \left( \log \left( \frac{\log(31)}{\log(31) - \log(8 + \sqrt{2})} \right) \right)^{-1} = 0.944 \dots$$

On the other hand, the second statement of Theorem 1.2 implies that if  $\xi > 0$  is an algebraic number with  $\xi \notin \mathbb{Q}(\sqrt{2})$ , then

$$\liminf_{N \rightarrow \infty} \frac{\lambda_N(4 + 1/\sqrt{2}, \xi)}{\log N} \geq \left( \log \left( \frac{\log(31)}{\log(31) - \log(2)} \right) \right)^{-1} = 4.43 \dots$$

**REMARK 1.1.** By using the same method for the proof of Theorem 1.2 and 1.1, Bugeaud [9] gave a lower bound for the number of digit changes in the  $\beta$ -expansion of algebraic numbers.

## 2 Preliminaries

Let  $\alpha > 1$  be an algebraic number of degree  $d$  and  $\xi$  a positive number. Write the minimal polynomial of  $\alpha$  by  $P_\alpha(X) = a_d X^d + \cdots + a_0 \in \mathbb{Z}[X]$  ( $a_d > 0$ ). In this section, we study the sequence  $(s_m(\xi))_{m=-\infty}^\infty$  defined by

$$s_m(\xi) = - \sum_{i=0}^d a_{d-i} \{\xi \alpha^{-m-i}\}.$$

Let  $[x]$  be the integral part of a real number  $x$ . Since

$$0 = \sum_{i=0}^d a_{d-i} \xi \alpha^{-m-i} = \sum_{i=0}^d a_{d-i} \left( [\xi \alpha^{-m-i}] + \{\xi \alpha^{-m-i}\} \right),$$

we have

$$\begin{aligned} s_m(\xi) &= \sum_{i=0}^d a_{d-i} \left( [\xi \alpha^{-m-i}] - \xi \alpha^{-m-i} \right) \\ &= \sum_{i=0}^d a_{d-i} [\xi \alpha^{-m-i}]. \end{aligned} \tag{2.1}$$

In particular,  $s_m(\xi)$  is a rational integer. Thus we get the following:

**LEMMA 2.1.** *Let  $\xi$  be a positive number.*

(1) *If  $s_m(\xi) \neq 0$ , then*

$$\max_{-m-d \leq n \leq -m} \{\xi \alpha^n\} \geq \min \left\{ \frac{1}{L_+(\alpha)}, \frac{1}{L_-(\alpha)} \right\}.$$

(2)  *$s_m(\xi) = 0$  for all sufficiently large  $m$ .*

*Proof.* We first show the first statement. Since  $s_m(\xi)$  is a nonzero integer, we have

$$1 \leq |s_m(\xi)| = \left| - \sum_{i=0}^d a_{d-i} \{\xi \alpha^{-m-i}\} \right|.$$

By using  $0 \leq \{\xi \alpha^{-m-i}\} < 1$ , we obtained the first statement. The second statement follows from (2.1) and  $[\xi \alpha^{-m}] = 0$  for each sufficiently large  $m$ .  $\square$

**PROPOSITION 2.2.** *Write the conjugates of  $\alpha$  with moduli greater than 1 by  $\alpha_1 (= \alpha), \dots, \alpha_p$ . Let  $\xi$  be a positive number. Then*

(1) *For  $2 \leq k \leq p$ ,*

$$\sum_{i=-\infty}^{\infty} \alpha_k^i s_i(\xi) = 0.$$

(2)

$$\sum_{i=-\infty}^{\infty} \alpha^i s_i(\xi) = -\frac{\xi}{\alpha} (P_\alpha^*)' \left( \frac{1}{\alpha} \right) \neq 0,$$

where  $P_\alpha^*(X) = a_d + a_{d-1}X + \cdots + a_0X^d$  denotes the reciprocal polynomial of  $P_\alpha(X)$  and  $(P_\alpha^*)'(X)$  its derivative.

**REMARK 2.1.** By the second statement of Lemma 2.1, the series

$$\sum_{i=-\infty}^{\infty} \alpha_k^i s_i(\xi)$$

converges for any  $k$  with  $1 \leq k \leq p$ .

*Proof.* We first consider the case of  $0 < \xi < 1$ . Then, for any  $m \leq 0$ ,  $[\xi \alpha^m] = 0$ , and so  $s_{-m}(\xi) = 0$  by (2.1). Put

$$f(z) = \sum_{n=0}^{\infty} [\xi \alpha^n] z^n, \quad g(z) = \sum_{n=0}^{\infty} \{\xi \alpha^n\} z^n.$$

Then we have

$$\begin{aligned} \left( \frac{\xi}{1-\alpha z} - g(z) \right) P_{\alpha}^*(z) &= f(z) P_{\alpha}^*(z) \\ &= \sum_{h=0}^{\infty} \sum_{\substack{i,j \geq 0 \\ i+j=h}} [\xi \alpha^i] a_{d-j} z^h \\ &= \sum_{h=0}^{\infty} \sum_{i=h-d}^h [\xi \alpha^i] a_{d-h+i} z^h = \sum_{h=0}^{\infty} s_{-h}(\xi) z^h. \end{aligned}$$

Consider the region of  $z \in \mathbb{C}$  satisfying

$$\left( \frac{\xi}{1-\alpha z} - g(z) \right) P_{\alpha}^*(z) = \sum_{h=0}^{\infty} s_{-h}(\xi) z^h. \quad (2.2)$$

Since  $0 \leq \{\xi \alpha^n\} < 1$  for any  $n$ , the left-hand side of (2.2) is a meromorphic function on  $\{z \mid |z| < 1\}$ . Moreover, because the sequence  $s_{-m}(\xi)$  ( $m = 0, 1, \dots$ ) is bounded, the right-hand side of (2.2) converges for  $|z| < 1$ . Hence (2.2) holds for  $|z| < 1$ . In particular, since the left-hand side of (2.2) has zero at  $z = \alpha_k^{-1}$  with  $2 \leq k \leq p$ , we obtain

$$\sum_{i=-\infty}^{\infty} \alpha_k^i s_i(\xi) = \sum_{i=0}^{\infty} \alpha_k^{-i} s_{-i}(\xi) = 0.$$

Let  $\alpha_1 = \alpha, \dots, \alpha_p, \alpha_{p+1}, \dots, \alpha_d$  be the conjugates of  $\alpha$ .  $P_{\alpha}^*(z)$  has a simple zero at  $z = 1/\alpha$  since

$$P_{\alpha}^*(z) = z^d P_{\alpha} \left( \frac{1}{z} \right) = a_d (1 - \alpha z) (1 - \alpha_2 z) \cdots (1 - \alpha_d z).$$

Note that  $g(z)$  is holomorphic for  $|z| < 1$ . Hence

$$\begin{aligned} \sum_{i=-\infty}^{\infty} \alpha^i s_i(\xi) &= \sum_{i=0}^{\infty} \alpha^{-i} s_{-i}(\xi) \\ &= \lim_{z \rightarrow 1/\alpha} \frac{\xi P_{\alpha}^*(z)}{1 - \alpha z} = -\frac{\xi}{\alpha} (P_{\alpha}^*)' \left( \frac{1}{\alpha} \right) \neq 0. \end{aligned}$$

Next, we check the case of  $\xi \geq 1$ . Take a positive integer  $R$  satisfying  $\xi\alpha^{-R} < 1$ . Then we obtain

$$\sum_{i=-\infty}^{\infty} \alpha_k^i s_i(\xi) = \alpha_k^R \sum_{i=-\infty}^{\infty} \alpha_k^{i-R} s_{i-R}(\xi\alpha^{-R}) = 0$$

for  $2 \leq k \leq p$ , and

$$\sum_{i=-\infty}^{\infty} \alpha^i s_i(\xi) = \alpha^R \sum_{i=-\infty}^{\infty} \alpha^{i-R} s_{i-R}(\xi\alpha^{-R}) = -\frac{\xi}{\alpha} (P_\alpha^*)' \left( \frac{1}{\alpha} \right).$$

□

### 3 The quantitative subspace theorem

First, we consider approximations of given algebraic numbers by algebraic numbers which lies in a fixed number field. We fix an algebraic closure  $\overline{\mathbb{Q}}$  of  $\mathbb{Q}$ . In what follows, assume that all algebraic number fields are subfields of  $\overline{\mathbb{Q}}$ . Let us begin with some notation about the absolute values on  $\mathbf{K}$ , where  $\mathbf{K}$  is a number field of degree  $d$ . Let  $\mathcal{M}_{arc}(\mathbf{K})$  be the set of archimedean places of  $\mathbf{K}$  and  $\mathcal{M}_{non}(\mathbf{K})$  the set of non-archimedean places of  $\mathbf{K}$ , respectively. Moreover, put  $\mathcal{M}(\mathbf{K}) = \mathcal{M}_{arc}(\mathbf{K}) \cup \mathcal{M}_{non}(\mathbf{K})$ . We define the absolute values  $|\cdot|_v$  and  $\|\cdot\|_v$  associated to a place  $v \in \mathbf{K}$ . In the case of  $\mathbf{K} = \mathbb{Q}$ , we have

$$\mathcal{M}(\mathbb{Q}) = \{\infty\} \cup \{\text{primes}\}.$$

In the case of  $v = \infty$ , let  $|\cdot|_\infty$  be the ordinary archimedean absolute value on  $\mathbb{Q}$ . If  $v = p$  is a prime number, then denote  $|\cdot|_p$  the  $p$ -adic absolute value, normalized such that  $|p|_p = p^{-1}$ .

Next, we consider the case where  $\mathbf{K}$  is an arbitrary number field. Suppose a place  $v \in \mathcal{M}(\mathbf{K})$  lies above the place  $p_v \in \mathcal{M}(\mathbb{Q})$ . We choose the normalized absolute value  $|\cdot|_v$  in such a way that the restriction of  $|\cdot|_v$  to  $\mathbb{Q}$  is  $|\cdot|_{p_v}$ . Let  $\mathbf{K}_v$  (resp.  $\mathbb{Q}_{p_v}$ ) be the completion of  $(\mathbf{K}, |\cdot|_v)$  (resp.  $(\mathbb{Q}, |\cdot|_{p_v})$ ). Put

$$d(v) = \frac{[\mathbf{K}_v : \mathbb{Q}_{p_v}]}{[\mathbf{K} : \mathbb{Q}]}.$$

and

$$\|\cdot\|_v = |\cdot|_v^{d(v)}.$$

Define the height of  $x$  by

$$H(x) = \prod_{v \in \mathcal{M}(\mathbf{K})} \max\{1, \|x\|_v\}.$$

By Lemma 3.10 of [20], we have

$$H(x)^{\deg x} = M(x) \tag{3.1}$$

Moreover, the product formula (for instance see [20], p. 74) implies for any nonzero  $x \in \mathbf{K}$  that

$$H(x^{-1}) = H(x). \tag{3.2}$$

Now we introduce Theorem 2 of [17] in the case of  $d = 1$ , which we use to prove Theorem 1.2. Suppose every valuation of  $\mathbf{K}$  to be extended to  $\overline{\mathbb{Q}}$ .

**THEOREM 3.1** (Locher [17]). *Let  $0 < \varepsilon \leq 1$  and  $\mathbf{F}/\mathbf{K}$  be an extension of number fields of degree  $D$ . Let  $S$  be a finite set of places of  $\mathbf{K}$  with cardinality  $s$ . Suppose that for each  $v \in S$ , a fixed element  $\theta_v \in \mathbf{F}$  is given. Let  $H$  be a real number with  $H \geq H(\theta_v)$  for all  $v \in S$ . Consider the inequality*

$$\prod_{v \in S} \min\{1, \|\theta_v - \gamma\|_v\} < H(\gamma)^{-2-\varepsilon} \quad (3.3)$$

*to be solved in elements  $\gamma \in \mathbf{K}$ . Then there are at most*

$$e^{7s+19} \varepsilon^{-s-4} \log(6D) \log\left(\varepsilon^{-1} \log(6D)\right)$$

*solutions  $\gamma \in \mathbf{K}$  of (3.3) with*

$$H(\gamma) \geq \max\left\{H, 4^{4/\varepsilon}\right\}.$$

Next, we consider approximations of given algebraic numbers by algebraic numbers with arbitrary degree. Let us introduce the quantitative subspace theorem proved by Bugeaud and Evertse [10]. Let  $\mathcal{L} = (L_{iv} : v \in \mathcal{M}(\mathbf{K}), i = 1, 2)$  be a tuple of linear forms with the following properties:

$$\begin{cases} L_{iv} \in \mathbf{K}[X, Y] \text{ for } v \in \mathcal{M}(\mathbf{K}), i = 1, 2, \\ L_{1v} = X, L_{2v} = Y \text{ for all but finitely many } v \in \mathcal{M}(\mathbf{K}), \\ \det(L_{1v}, L_{2v}) = 1 \text{ for } v \in \mathcal{M}(\mathbf{K}), \\ \text{Card}\left(\bigcup_{v \in \mathcal{M}(\mathbf{K})} \{L_{1v}, L_{2v}\}\right) \leq r. \end{cases} \quad (3.4)$$

Put

$$\bigcup_{v \in \mathcal{M}(\mathbf{K})} \{L_{1v}, L_{2v}\} = \{L_1, \dots, L_s\}$$

and

$$\mathcal{H} = \mathcal{H}(\mathcal{L}) = \prod_{v \in \mathcal{M}(\mathbf{K})} \max_{1 \leq i < j \leq s} \|\det(L_i, L_j)\|_v. \quad (3.5)$$

Moreover, let  $\mathbf{c} = (c_{iv} : v \in \mathcal{M}(\mathbf{K}), i = 1, 2)$  be a tuple of reals with the following properties:

$$\begin{cases} c_{1v} = c_{2v} = 0 \text{ for all but finitely many } v \in \mathcal{M}(\mathbf{K}), \\ \sum_{v \in \mathcal{M}(\mathbf{K})} \sum_{i=1}^2 c_{iv} = 0, \\ \sum_{v \in \mathcal{M}(\mathbf{K})} \max\{c_{1v}, c_{2v}\} \leq 1. \end{cases} \quad (3.6)$$

Next, take any finite extension  $\mathbf{E}$  of  $\mathbf{K}$  and any place  $w \in \mathcal{M}(\mathbf{E})$ . Let  $v \in \mathcal{M}(\mathbf{K})$  be the place lying below  $w$ . Write the completion of  $(\mathbf{E}, |\cdot|_w)$  (resp.  $(\mathbf{K}, |\cdot|_v)$ ) by  $\mathbf{E}_w$  (resp.  $\mathbf{K}_v$ ). For  $i = 1, 2$ , define the linear forms  $L_{1w}, L_{2w}$  and the real numbers  $c_{1w}, c_{2w}$  by

$$L_{iw} = L_{iv} \text{ and } c_{iw} = d(w|v)c_{iv}, \quad (3.7)$$



where

$$d(w|v) = \frac{[\mathbf{E}_w : \mathbf{K}_v]}{[\mathbf{E} : \mathbf{K}]}.$$

Note that

$$\|x\|_w = \|x\|_v^{d(w|v)} \text{ for } x \in \mathbf{K} \quad (3.8)$$

and that

$$\sum_{\substack{w \in \mathcal{M}(\mathbf{E}) \\ w|v}} d(w|v) = 1 \text{ for } v \in \mathcal{M}(\mathbf{K}). \quad (3.9)$$

Take a positive number  $Q$  and  $\mathbf{x} = (x, y) \in \overline{\mathbb{Q}}^2$ . We define the twisted height  $H_{Q, \mathcal{L}, \mathbf{c}}(\mathbf{x})$ . There exists a number field  $\mathbf{E}$  including the field  $\mathbf{K}(x, y)$ . Then put

$$H_{Q, \mathcal{L}, \mathbf{c}}(\mathbf{x}) = \prod_{w \in \mathcal{M}(\mathbf{E})} \max_{1 \leq i \leq 2} \|L_{iw}(\mathbf{x})\|_w Q^{-c_{iw}},$$

which is a finite product by the assumption of  $\mathcal{L}$  and  $\mathbf{c}$ . We show that  $H_{Q, \mathcal{L}, \mathbf{c}}(\mathbf{x})$  does not depend on the choice of  $\mathbf{E}$ . Let  $\mathbf{E}'$  be another number field including  $\mathbf{K}(x, y)$ . Take a number field  $\mathbf{F}$  with  $\mathbf{F} \supset \mathbf{E} \cup \mathbf{E}'$ . By (3.7), (3.8), and (3.9)

$$\begin{aligned} & \prod_{u \in \mathcal{M}(\mathbf{F})} \max_{1 \leq i \leq 2} \|L_{iu}(\mathbf{x})\|_u Q^{-c_{iu}} \\ &= \prod_{w \in \mathcal{M}(\mathbf{E})} \prod_{\substack{u \in \mathcal{M}(\mathbf{F}) \\ u|w}} \max_{1 \leq i \leq 2} \|L_{iw}(\mathbf{x})\|_w^{d(u|w)} Q^{-d(u|w)c_{iw}} \\ &= \prod_{w \in \mathcal{M}(\mathbf{E})} \max_{1 \leq i \leq 2} \|L_{iw}(\mathbf{x})\|_w Q^{-c_{iw}}. \end{aligned}$$

Similarly, we get

$$\begin{aligned} \prod_{w' \in \mathcal{M}(\mathbf{E}')} \max_{1 \leq i \leq 2} \|L_{iw'}(\mathbf{x})\|_{w'} Q^{-c_{iw'}} &= \prod_{u \in \mathcal{M}(\mathbf{F})} \max_{1 \leq i \leq 2} \|L_{iu}(\mathbf{x})\|_u Q^{-c_{iu}} \\ &= \prod_{w \in \mathcal{M}(\mathbf{E})} \max_{1 \leq i \leq 2} \|L_{iw}(\mathbf{x})\|_w Q^{-c_{iw}}. \end{aligned}$$

Now we consider the inequality

$$H_{Q, \mathcal{L}, \mathbf{c}}(\mathbf{x}) \leq Q^{-\delta}, \quad (3.10)$$

where  $\mathbf{x} \in \overline{\mathbb{Q}}^2$  and  $Q, \delta > 0$ .

**THEOREM 3.2** (Bugeaud and Evertse [10]). *Let  $\mathcal{L} = (L_{iv} : v \in \mathcal{M}(\mathbf{K}), i = 1, 2)$  be a tuple of linear forms satisfying (3.4) and  $\mathbf{c} = (c_{iv} : v \in \mathcal{M}(\mathbf{K}), i = 1, 2)$  a tuple of reals fulfilling (3.6). Moreover, let  $0 < \delta \leq 1$ .*

*Then there are proper linear subspaces  $T_1, \dots, T_{t_1}$  of  $\overline{\mathbb{Q}}^2$ , all defined over  $\mathbf{K}$ , with*

$$t_1 = t_1(r, \delta) = 2^{25} \delta^{-3} \log(2r) \log(\delta^{-1} \log(2r)) \quad (3.11)$$

such that the following holds: for every real  $Q$  with

$$Q > \max\left(\mathcal{H}^{1/(\frac{r}{2})}, 2^{2/\delta}\right) \quad (3.12)$$

there is a subspace  $T_i \in \{T_1, \dots, T_{t_1}\}$  which contains all solutions  $\mathbf{x} \in \overline{\mathbf{Q}}^2$  of (3.10).

This is Proposition 4.1 of [10] in the case of  $n = 2$ .

## 4 Systems of inequalities

In this section we apply Theorem 3.2 to certain systems of inequalities, which are generalization of Theorem 5.1 in [10]. Let  $\mathbf{K} \subset \overline{\mathbf{Q}}$  be a number field of degree  $d$ . We define some notation about linear forms with algebraic coefficients. Take a linear form  $L(X, Y) = \alpha X + \beta Y \in \overline{\mathbf{Q}}[X, Y]$  and put

$$\mathbf{K}(L) = \mathbf{K}(\alpha, \beta).$$

Define the inhomogeneous height  $H^*(L)$  of  $L$  by

$$H^*(L) = \prod_{v \in \mathcal{M}(\mathbf{K}(L))} \max\{1, \|\alpha\|_v, \|\beta\|_v\}.$$

Note that, for a number field  $\mathbf{E}$  including  $\mathbf{K}(L)$ ,

$$\begin{aligned} & \prod_{w \in \mathcal{M}(\mathbf{E})} \max\{1, \|\alpha\|_w, \|\beta\|_w\} \\ &= \prod_{v \in \mathcal{M}(\mathbf{K}(L))} \prod_{\substack{w \in \mathcal{M}(\mathbf{E}) \\ w|v}} \max\{1, \|\alpha\|_w, \|\beta\|_w\}^{d(w|v)} = H^*(L) \end{aligned} \quad (4.1)$$

by (3.9). In what follows we put, for  $w \in \mathbf{E}$ ,

$$\|L\|_w = \max\{\|\alpha\|_w, \|\beta\|_w\}.$$

Moreover, if an automorphism  $\sigma : \overline{\mathbf{Q}} \rightarrow \overline{\mathbf{Q}}$  is given, let

$$\sigma(L) = \sigma(\alpha)X + \sigma(\beta)Y.$$

Write the archimedean place associated to the inclusion map  $\mathbf{K} \hookrightarrow \mathbb{C}$  by  $\infty$ , namely,

$$\|x\|_\infty = |x|^{1/d} \text{ for } x \in \mathbf{K}. \quad (4.2)$$

Let  $\varepsilon$  be a real with  $0 < \varepsilon \leq 1/2$  and  $S$  a finite subset of  $\mathcal{M}(\mathbf{K})$  including all archimedean places of  $\mathbf{K}$ . Moreover, let  $L_{iv}$  ( $v \in S$ ,  $i = 1, 2$ ) be linear forms in  $X, Y$  with coefficients in  $\overline{\mathbf{Q}}$  such that

$$\begin{cases} \det(L_{1v}, L_{2v}) = 1 \text{ for } v \in S, \\ \text{Card}\left(\bigcup_{v \in S} \{L_{1v}, L_{2v}\}\right) \leq R, \\ [K(L_{iv}) : K] \leq D \text{ for } v \in S, i = 1, 2, \\ H^*(L_{iv}) \leq H \text{ for } v \in S, i = 1, 2, \end{cases} \quad (4.3)$$

and  $e_{iv}$  ( $v \in S$ ,  $i = 1, 2$ ) be reals satisfying

$$\sum_{v \in S} \sum_{i=1}^2 e_{iv} = -\varepsilon. \quad (4.4)$$

Put

$$A = 1 + \sum_{v \in S} \max\{0, e_{1v}, e_{2v}\} \ (\geq 1).$$

Finally, let  $\Psi$  be a function from  $O_{\mathbf{K}}^2$  to  $\mathbb{R}_{\geq 0}$ , where  $O_{\mathbf{K}}$  is the ring of integers of  $\mathbf{K}$ . Suppose every valuation  $v$  of  $\mathbf{K}$  to be extended to  $\overline{\mathbb{Q}}$ . Consider the system of inequalities

$$\|L_{iv}(\mathbf{x})\|_v \leq \Psi(\mathbf{x})^{e_{iv}} \ (v \in S, i = 1, 2), \quad (4.5)$$

where  $\mathbf{x} \in O_{\mathbf{K}}^2$  with  $\Psi(\mathbf{x}) \neq 0$ .

**PROPOSITION 4.1.** *The set of solutions  $\mathbf{x} \in O_{\mathbf{K}}^2$  of (4.5) with*

$$\Psi(\mathbf{x}) > \max\{2H, 2^{4/\varepsilon}\} \quad (4.6)$$

*is contained in the union of at most*

$$2^{31} A^4 \varepsilon^{-3} \log(2RD) \log(\varepsilon^{-1} \log(2RD))$$

*proper linear subspaces of  $\mathbf{K}^2$ .*

*Proof.* We can prove this proposition in the same way as Theorem 5.1 in [10]. Let  $\mathbf{E}$  be a finite normal extension of  $\mathbf{K}$ , containing the coefficients of  $L_{iv}$  as well as the conjugates over  $\mathbf{K}$  of these coefficients, for  $v \in S$ ,  $i = 1, 2$ . Let  $\tilde{S}$  denote the set of places of  $\mathbf{E}$  lying above the places in  $S$ . Note that  $\tilde{S} \supset \mathcal{M}_{arc}(\mathbf{E})$ . Take a place  $w \in \mathcal{M}(\mathbf{E})$  above the place  $v \in \mathcal{M}(\mathbf{K})$ . For simplicity, put

$$d_w = d(w|v).$$

If  $w \in \tilde{S}$ , then there exists an automorphism  $\sigma_w$  of  $\mathbf{E}$  satisfying

$$\|x\|_w = \|\sigma_w(x)\|_v^{d_w} \text{ for } x \in \mathbf{E}.$$

For  $i = 1, 2$ , we define the linear forms  $L_{iw}$  and the real numbers  $e_{iw}$  by

$$L_{iw} = \begin{cases} \sigma_w^{-1}(L_{iv}) & (w \in \tilde{S}), \\ X & (i = 1, w \notin \tilde{S}) \\ Y & (i = 2, w \notin \tilde{S}) \end{cases}$$

and

$$e_{iw} = \begin{cases} d_w e_{iv} & (w \in \tilde{S}), \\ 0 & (w \notin \tilde{S}), \end{cases}$$

respectively. Take an  $\mathbf{x} \in O_{\mathbf{K}}^2$  with (4.5). If  $w \notin \tilde{S}$ , then  $w$  is non-archimedean, so

$$\|L_{iw}(\mathbf{x})\|_w \leq 1.$$

Moreover, since

$$\|L_{iv}(\mathbf{x})\|_v^{d_w} = \|\sigma_w(L_{iw}(\mathbf{x}))\|_v^{d_w} = \|L_{iw}(\mathbf{x})\|_w,$$

$\mathbf{x}$  satisfies the system of inequalities

$$\|L_{iw}(\mathbf{x})\|_w \leq \Psi(\mathbf{x})^{e_{iw}} \quad (w \in \mathcal{M}(\mathbf{E}), i = 1, 2). \quad (4.7)$$

By using (3.9) and (4.4) we get

$$\begin{aligned} \sum_{w \in \mathcal{M}(\mathbf{E})} \sum_{i=1}^2 e_{iw} &= \sum_{v \in S} \sum_{\substack{w \in \mathcal{M}(\mathbf{E}) \\ w|v}} \sum_{i=1}^2 d_w e_{iv} \\ &= \sum_{v \in S} \sum_{i=1}^2 e_{iv} = -\varepsilon. \end{aligned} \quad (4.8)$$

By the definition of  $L_{iw}$  with  $1 \leq i \leq 2$  and  $w \in \mathcal{M}(\mathbf{E})$

$$\text{Card} \left( \bigcup_{w \in \mathcal{M}(\mathbf{E})} \{L_{1w}, L_{2w}\} \right) \leq 2 + DR.$$

Let  $\mathcal{L} = (L_{iw} : w \in \mathcal{M}(\mathbf{E}), i = 1, 2)$ . Define the tuple of reals  $\mathbf{c} = (c_{iw} : w \in \mathcal{M}(\mathbf{E}), i = 1, 2)$  by

$$c_{iw} = A^{-1} \left( e_{iw} - \frac{1}{2} \sum_{j=1}^2 e_{jw} \right).$$

We apply Theorem 3.2 with  $\mathcal{L}$ ,  $\mathbf{c}$ ,  $r = 2 + DR(\geq 4)$ , and

$$\delta = \frac{\varepsilon}{2A}.$$

It is easy to check the condition (3.4). We verify the condition (3.6). The first statement is clear by the definition of  $c_{iw}$  and  $e_{iw}$ . The second statement follows from  $c_{1w} + c_{2w} = 0$  for each  $w \in \mathcal{M}(\mathbf{E})$ . Moreover, by using (4.4) and (4.8), we obtain

$$\begin{aligned} A \sum_{w \in \mathcal{M}(\mathbf{E})} \max\{c_{1w}, c_{2w}\} &= \sum_{w \in \mathcal{M}(\mathbf{E})} \max\{e_{1w}, e_{2w}\} - \frac{1}{2} \sum_{w \in \mathcal{M}(\mathbf{E})} \sum_{j=1}^2 e_{jw} \\ &= \sum_{v \in S} \sum_{\substack{w \in \mathcal{M}(\mathbf{E}) \\ w|v}} \max\{d_w e_{1v}, d_w e_{2v}\} + \frac{\varepsilon}{2} \\ &\leq \frac{\varepsilon}{2} + \sum_{v \in S} \max\{0, e_{1v}, e_{2v}\} \sum_{\substack{w \in \mathcal{M}(\mathbf{E}) \\ w|v}} d_w \\ &\leq 1 + \sum_{v \in S} \max\{0, e_{1v}, e_{2v}\} = A. \end{aligned}$$

Therefore we proved the last inequality of (3.6).

Let  $\mathbf{x} \in O_{\mathbf{K}}^2$  be a solution of (4.5) with (4.6). Then  $\mathbf{x}$  also fulfills (4.7). Put

$$Q = \Psi(\mathbf{x})^A.$$

Finally, we show that such an  $\mathbf{x}$  satisfies (3.10) and (3.12). By (4.7) and the definition of  $c_{iw}$ ,

$$\begin{aligned} \|L_{iw}(\mathbf{x})\|_w Q^{-c_{iw}} &= \|L_{iw}(\mathbf{x})\|_w \Psi(\mathbf{x})^{-e_{iw}} \Psi(\mathbf{x})^{(e_{1w}+e_{2w})/2} \\ &\leq \Psi(\mathbf{x})^{(e_{1w}+e_{2w})/2} \end{aligned}$$

for  $w \in \mathcal{M}(\mathbf{E})$ ,  $i = 1, 2$ . By taking product over  $w \in \mathcal{M}(\mathbf{E})$  and using (4.8), we get

$$\begin{aligned} H_{Q,\mathcal{L},\mathbf{c}}(\mathbf{x}) &= \prod_{w \in \mathcal{M}(\mathbf{E})} \max_{1 \leq i \leq 2} \|L_{iw}(\mathbf{x})\|_w Q^{-c_{iw}} \\ &\leq \prod_{w \in \mathcal{M}(\mathbf{E})} \Psi(\mathbf{x})^{(e_{1w}+e_{2w})/2} \\ &= \Psi(\mathbf{x})^{-\varepsilon/2} = Q^{-\delta}. \end{aligned}$$

Thus (3.10) is verified. Put

$$\bigcup_{w \in \mathcal{M}(\mathbf{E})} \{L_{1w}, L_{2w}\} = \{L_1, \dots, L_s\},$$

where  $s \leq r$ . We check  $H^*(L_{iw}) \leq H$  for  $w \in \mathcal{M}(\mathbf{E})$  and  $i = 1, 2$ . We may assume that  $w \in \tilde{S}$ . There exists an automorphism  $\sigma_w$  of  $\mathbf{E}$  such that  $L_{iw} = \sigma_w^{-1}(L_{iv})$ , where  $v \in S$  is the place below  $w$ . By (4.1) and (4.3)

$$\begin{aligned} H^*(L_{iw}) &= \prod_{u \in \mathcal{M}(\mathbf{E})} \max\{1, \|\sigma_w^{-1}(L_{iv})\|_u\} \\ &= \prod_{u \in \mathcal{M}(\mathbf{E})} \max\{1, \|L_{iv}\|_u\} = H^*(L_{iv}) \leq H. \end{aligned}$$

Let  $\tilde{D} = [\mathbf{E} : \mathbb{Q}]$  and  $1 \leq i < j \leq s$ . If  $w$  is an Archimedean place, then

$$\begin{aligned} \|\det(L_i, L_j)\|_w &\leq 2^{[\mathbf{E}_w : \mathbb{R}]/\tilde{D}} \|L_i\|_w \|L_j\|_w \\ &\leq 2^{[\mathbf{E}_w : \mathbb{R}]/\tilde{D}} \prod_{l=1}^s \max\{1, \|L_l\|_w\}. \end{aligned}$$

Similarly, if  $w$  is non-Archimedean, then by the ultrametric inequality

$$\|\det(L_i, L_j)\|_w \leq \|L_i\|_w \|L_j\|_w \leq \prod_{l=1}^s \max\{1, \|L_l\|_w\}.$$

Since  $\sum_{w \in \mathcal{M}_{arc}(\mathbf{E})} [\mathbf{E}_w : \mathbb{R}] = \tilde{D}$ , we conclude that

$$\begin{aligned} \mathcal{H}(\mathcal{L}) &= \prod_{w \in \mathcal{M}(\mathbf{E})} \max_{1 \leq i < j \leq s} \|\det(L_i, L_j)\|_w \\ &\leq \prod_{w \in \mathcal{M}_{arc}(\mathbf{E})} 2^{[\mathbf{E}_w : \mathbb{R}]/\tilde{D}} \prod_{l=1}^s H^*(L_l) \leq 2H^r, \end{aligned}$$

hence

$$\begin{aligned} \max \left\{ \mathcal{H}(\mathcal{L})^{1/(\frac{r}{2})}, 2^{2/\delta} \right\} &\leq \max \left\{ 2^{1/(\frac{r}{2})} H^{r/(\frac{r}{2})}, 2^{4A/\varepsilon} \right\} \\ &\leq \max \left\{ 2H, 2^{4/\varepsilon} \right\}^A < \Psi(\mathbf{x})^A = Q. \end{aligned}$$

Let  $t_1 = t_1(r, \delta)$  be defined as (3.11). Theorem 3.2 implies the following: there are proper subspaces  $T_1, \dots, T_{t_1}$  of  $\overline{\mathbb{Q}}$  all defined over  $\mathbf{E}$  such that any solution  $\mathbf{x} \in O_{\mathbf{K}}^2$  of (4.5) with (4.6) satisfies

$$\mathbf{x} \in \bigcup_{i=1}^{t_1} (T_i \cap \mathbf{K}^2).$$

Therefore, for the proof of the proposition it suffices to check

$$t_1 \leq 2^{31} A^4 \varepsilon^{-3} \log(2RD) \log(\varepsilon^{-1} \log(2RD)) \quad (4.9)$$

Since  $DR \geq 2$ , we have

$$\log(2r) \leq 2 \log(2DR).$$

Moreover, by  $0 < \varepsilon \leq 1/2$

$$\begin{aligned} \log(\delta^{-1} \log(2r)) &\leq \log(4A\varepsilon^{-1} \log(2DR)) \\ &\leq 4A \log(\varepsilon^{-1} \log(2DR)). \end{aligned}$$

Thus (4.9) follows.  $\square$

## 5 Proof of main results

We give another proof of the inequality (1.4). Without loss of generality, we may assume that  $1/\alpha \leq \xi < 1$ . In fact, there is an integer  $R$  with  $1/\alpha \leq \xi\alpha^R < 1$ . Then since  $\xi\alpha^n = (\xi\alpha^R)\alpha^{n-R}$ , we have

$$|\lambda_N(\alpha, \xi) - \lambda_N(\alpha, \xi\alpha^R)| \leq |R|.$$

In particular, for any  $n \leq 0$ ,  $[\xi\alpha^n] = 0$ , and so  $s_{-n}(\xi) = 0$  by (2.1).

Recall that  $s_{-n}(\xi) \neq 0$  for infinitely many positive  $n$ , which we introduced in Section 1. Define the increasing sequence of positive integers  $(n_j)_{j=1}^\infty$  by  $s_{-n}(\xi) \neq 0$  if and only if  $n = n_j$  for some  $j \geq 1$ . By the first statement of Lemma 2.1, it suffices to show that

$$\liminf_{j \rightarrow \infty} \frac{j}{\log n_j} \geq \left( \log \left( \frac{\log M(\alpha)}{\log M(\alpha) - \log(a_d \alpha)} \right) \right)^{-1}.$$

Write the conjugates of  $\alpha$  by  $\alpha_1 = \alpha, \alpha_2, \dots, \alpha_d$ . Without loss of generality, we may assume that

$$|\alpha_k| > 1 \quad (1 \leq k \leq p),$$

where  $p$  is the number of the conjugates of  $\alpha$  whose absolute values are greater than 1. In what follows,  $C_1(\alpha), C_2(\alpha), \dots$  denote positive constants depending only on  $\alpha$ . We first check

$$C_1(\alpha)\alpha^{n_j} \leq \left| \sum_{i=0}^{n_j} \alpha^i s_{i-n_j}(\xi) \right| \leq C_2(\alpha)\alpha^{n_j} \quad (5.1)$$

for any sufficiently large  $j \geq 1$ . By using  $s_m(\xi) = 0$  for any  $m > 0$  and the second statement of Proposition 2.2, we get

$$\begin{aligned} \sum_{i=0}^{n_j} \alpha^i s_{i-n_j}(\xi) &= \sum_{i=0}^{\infty} \alpha^i s_{i-n_j}(\xi) \\ &= \alpha^{n_j} \sum_{i=-\infty}^{\infty} \alpha^{i-n_j} s_{i-n_j}(\xi) - \sum_{i=-\infty}^{-1} \alpha^i s_{i-n_j}(\xi) \\ &= -\xi \alpha^{-1+n_j} (P_\alpha^*)' \left( \frac{1}{\alpha} \right) - \sum_{i=-\infty}^{-1} \alpha^i s_{i-n_j}(\xi), \end{aligned}$$

where  $(P_\alpha^*)'$  is defined in Proposition 2.2. Thus

$$\begin{aligned} \left| \sum_{i=0}^{n_j} \alpha^i s_{i-n_j}(\xi) + \xi \alpha^{-1+n_j} (P_\alpha^*)' \left( \frac{1}{\alpha} \right) \right| &\leq \max\{L_+(\alpha), L_-(\alpha)\} \sum_{i=-\infty}^{-1} \alpha^i \\ &\leq C_3(\alpha). \end{aligned}$$

By considering  $(P_\alpha^*)'(1/\alpha) \neq 0$ , we obtain (5.1). Recall that, for any nonempty subset  $I$  of  $\{1, 2, \dots, d\}$ , the number

$$a_d \prod_{k \in I} \alpha_k$$

is an algebraic integer (for example, see pages 71 and 72 of [20]). So (5.1) implies that

$$1 \leq \left| a_d^{n_j} \prod_{k=1}^d \left( \sum_{i=0}^{n_j} \alpha_k^i s_{i-n_j}(\xi) \right) \right| \quad (5.2)$$

since the right-hand side of this inequality is the absolute value of a nonzero rational integer. By the first statement of Proposition 2.2, for  $2 \leq k \leq p$ ,

$$\left| \sum_{i=0}^{n_j} \alpha_k^i s_{i-n_j}(\xi) \right| = \left| - \sum_{i=1+n_j}^{\infty} \alpha_k^i s_{i-n_j}(\xi) - \sum_{i=-\infty}^{-1} \alpha_k^i s_{i-n_j}(\xi) \right|.$$

Because  $s_{i-n_j}(\xi) = 0$  for each  $i$  with  $i \geq 1 + n_j$ , we have

$$\begin{aligned} \left| \sum_{i=0}^{n_j} \alpha_k^i s_{i-n_j}(\xi) \right| &\leq \max\{L_+(\alpha), L_-(\alpha)\} \sum_{i=-\infty}^{n_j-n_{1+j}} |\alpha_k^i| \\ &\leq C_4(\alpha) |\alpha_k|^{n_j-n_{1+j}}. \end{aligned} \quad (5.3)$$

Similarly, if  $p + 1 \leq k \leq d$ , then

$$\left| \sum_{i=0}^{n_j} \alpha_k^i s_{i-n_j}(\xi) \right| \leq C_5(\alpha) n_j. \quad (5.4)$$

Take an arbitrary positive  $\varepsilon$ . By combining (5.1), (5.2), (5.3), and (5.4), we conclude for sufficiently large  $j$  that

$$\begin{aligned} 1 &\leq C_6(\alpha) a_d^{n_j} \alpha^{n_j} \left( \prod_{k=2}^p |\alpha_k|^{n_j - n_{1+j}} \right) \left( \prod_{k=1+p}^d n_j \right) \\ &\leq |\alpha_2 \cdots \alpha_p|^{-n_{1+j}} ((1 + \varepsilon) M(\alpha))^{n_j}. \end{aligned}$$

Hence, for  $j \geq j_0$ ,

$$\frac{n_{1+j}}{n_j} \leq \frac{\log((1 + \varepsilon) M(\alpha))}{\log M(\alpha) - \log(a_d \alpha)} =: F_1(\varepsilon)$$

and

$$n_j \leq n_{j_0} F_1(\varepsilon)^{j-j_0}.$$

Therefore we conclude that

$$\liminf_{j \rightarrow \infty} \frac{j}{\log n_j} \geq \frac{1}{\log F_1(\varepsilon)}.$$

Since  $\varepsilon$  is an arbitrary positive number, (1.4) is proved.

*Proof of Theorem 1.2.* Theorem 3 of [11] shows for infinitely many  $n \geq 0$  that  $s_{-n}(\xi) \neq 0$ . There exists the unique increasing sequence of positive integers  $(n_j)_{j=1}^\infty$  such that  $s_{-n}(\xi) \neq 0$  if and only if  $n = n_j$  for some  $j \geq 1$ . Put

$$\xi' = \sum_{i=-\infty}^{-1} \alpha^i s_i(\xi) \text{ and } \xi_j = \sum_{i=-n_j}^{-1} \alpha^i s_i(\xi).$$

We may assume  $\xi \in [1/\alpha, 1)$ . Then  $s_n(\xi) = 0$  for any  $n \geq 0$ . By Proposition 2.2,  $\xi' \notin \mathbb{Q}(\alpha)$ . Thus we get  $\xi' \neq \xi_j$  for any  $j \geq 1$ . Recall that  $\infty$  is the archimedean place defined by (4.2). In what follows, let  $C_1(\alpha), C_2(\alpha), \dots$  be positive constants depending only on  $\alpha$ . Then

$$\begin{cases} 0 < \|\xi' - \xi_j\|_\infty \leq C_1(\alpha) \alpha^{-n_{1+j}/d}, \\ \|\xi_j\|_\infty \leq C_1(\alpha). \end{cases} \quad (5.5)$$

Take an arbitrary positive number  $\varepsilon$ . Apply Theorem 3.1 with

$$\mathbf{K} = \mathbb{Q}(\alpha), \quad S = \mathcal{M}_{\text{arc}}(\mathbf{K}) \cup \{v \in \mathcal{M}_{\text{non}}(\mathbf{K}) \mid \|\alpha\|_v < 1\},$$

and

$$\theta_v = \begin{cases} 1/\xi' & (\text{if } v = \infty), \\ 0 & (\text{otherwise}). \end{cases}$$



Consider solutions  $\gamma$  of (3.3) satisfying  $\gamma = 1/\xi_j$  for some  $j$ . Let us take any  $j_0 \geq 0$ . By (5.5) there exists at most finitely many  $j \geq 1$  with  $\xi_j = \xi_{j_0}$ . Thus by Theorem 3.1 there exist at most finitely many  $j$  such that  $\gamma = 1/\xi_j$  fulfills (3.3). Namely, for all sufficiently large  $j$ ,

$$\prod_{v \in S} \min \left\{ 1, \left\| \theta_v - \frac{1}{\xi_j} \right\|_v \right\} \geq H \left( \frac{1}{\xi_j} \right)^{-2-\varepsilon} = H(\xi_j)^{-2-\varepsilon}. \quad (5.6)$$

We have

$$\begin{aligned} \prod_{v \in S} \min \left\{ 1, \left\| \theta_v - \frac{1}{\xi_j} \right\|_v \right\} &= \left\| \frac{1}{\xi'} - \frac{1}{\xi_j} \right\|_\infty \prod_{v \in S \setminus \{\infty\}} \min \left\{ 1, \left\| \frac{1}{\xi_j} \right\|_v \right\} \\ &= \left\| \frac{1}{\xi'} - \frac{1}{\xi_j} \right\|_\infty \max\{1, \|\xi_j\|_\infty\} \left( \prod_{v \in S} \max\{1, \|\xi_j\|_v\} \right)^{-1} \\ &\leq C_1(\alpha)^2 \alpha^{-n_1+j/d} \left( \prod_{v \in S} \max\{1, \|\xi_j\|_v\} \right)^{-1}. \end{aligned}$$

Note that if  $v \in \mathcal{M}(\mathbf{K}) \setminus S$ , then  $\|\xi_j\|_v \leq 1$  by the ultrametric inequality. Hence

$$\begin{aligned} \prod_{v \in S} \min \left\{ 1, \left\| \theta_v - \frac{1}{\xi_j} \right\|_v \right\} &\leq C_1(\alpha)^2 \alpha^{-n_1+j/d} H(\xi_j)^{-1} \prod_{v \in \mathcal{M}(\mathbf{K}) \setminus S} \max\{1, \|\xi_j\|_v\} \\ &\leq C_1(\alpha)^2 \alpha^{-n_1+j/d} H(\xi_j)^{-1}. \end{aligned}$$

By combining the inequality above and (5.6), we obtain, for any sufficiently large  $j$ ,

$$\alpha^{n_1+j} \leq C_1(\alpha)^{2d} H(\xi_j)^{(1+\varepsilon)d}.$$

Write the conjugates of  $\alpha$  by  $\alpha_1 = \alpha, \alpha_2, \dots, \alpha_d$ . Let  $p$  (resp.  $q$ ) be the number of the conjugates of  $\alpha$  whose absolute values are greater (resp. smaller) than 1. Without loss of generality we may assume  $|\alpha_k| > 1$  if  $1 \leq k \leq p$ ,  $|\alpha_k| < 1$  if  $p+1 \leq k \leq p+q$ , and  $|\alpha_k| = 1$  otherwise. By the ultrametric inequality

$$\prod_{v \in \mathcal{M}_{non}(\mathbf{K})} \max\{1, \|\xi_j\|_v\} \leq \prod_{v \in \mathcal{M}_{non}(\mathbf{K})} \max\{1, \|\alpha^{-1}\|_v\}^{n_j}.$$

Since  $s_n(\xi) \leq \max\{L_+(\alpha), L_-(\alpha)\}$  for every integer  $n$

$$\begin{aligned} \prod_{k=p+1}^d \left| \sum_{i=-n_j}^{-1} \alpha_k^i s_i(\xi) \right|^{1/d} &\leq \prod_{k=p+1}^{p+q} \left| C_2(\alpha) \alpha_k^{-n_j} \right|^{1/d} \prod_{k=p+q+1}^d (C_2(\alpha) n_j)^{1/d} \\ &= C_2(\alpha)^{(d-p)/d} n_j^{(d-p-q)/d} \prod_{k=p+1}^{p+q} |\alpha_k|^{n_j/d}. \end{aligned}$$

By using the first statement of Proposition 2.2 and  $s_n(\xi) = 0$  for any  $n \geq 0$ ,

$$\begin{aligned}
\prod_{k=1}^p \left| \sum_{i=-n_j}^{-1} \alpha_k^i s_i(\xi) \right|^{1/d} &= \left| \sum_{i=-n_j}^{-1} \alpha^i s_i(\xi) \right|^{1/d} \prod_{k=2}^p \left| \sum_{i=-\infty}^{-n_1+j} \alpha_k^i s_i(\xi) \right|^{1/d} \\
&\leq C_3(\alpha)^{1/d} \prod_{k=2}^p \left| C_3(\alpha) \alpha_k^{-n_1+j} \right|^{1/d} \\
&= C_3(\alpha)^{p/d} \prod_{k=2}^p \left| \alpha_k^{-n_1+j} \right|^{1/d}.
\end{aligned}$$

Hence we obtain

$$\begin{aligned}
\prod_{v \in \mathcal{M}_{arc}(\mathbf{K})} \max\{1, \|\xi_j\|_v\} &= \prod_{k=1}^d \left| \sum_{i=-n_j}^{-1} \alpha_k^i s_i(\xi) \right|^{1/d} \\
&\leq C_4(\alpha) n_j^{(d-p-q)/d} \prod_{v \in \mathcal{M}_{arc}(\mathbf{K})} \max\{1, \|\alpha^{-1}\|_v\}^{n_j} \prod_{k=2}^p \left| \alpha_k^{-n_1+j} \right|^{1/d}
\end{aligned}$$

and so

$$H(\xi_j) \leq C_4(\alpha) n_j^{(d-p-q)/d} H(\alpha^{-1})^{n_j} \prod_{k=2}^p \left| \alpha_k^{-n_1+j} \right|^{1/d}.$$

Finally, we conclude for sufficiently large  $j$  that

$$\begin{aligned}
\alpha^{n_1+j} &\leq C_5(\alpha) n_j^{(1+\varepsilon)(d-p-q)} H(\alpha^{-1})^{(1+\varepsilon)dn_j} \prod_{k=2}^p \left| \alpha_k^{-n_1+j} \right|^{(1+\varepsilon)} \\
&\leq H(\alpha^{-1})^{(1+2\varepsilon)dn_j} \prod_{k=2}^p \left| \alpha_k^{-n_1+j} \right|^{(1+\varepsilon)} \\
&= M(\alpha)^{(1+2\varepsilon)n_j} \prod_{k=2}^p \left| \alpha_k^{-n_1+j} \right|^{(1+\varepsilon)},
\end{aligned}$$

where for the last equality we use (3.1). Taking logarithms of both sides of the inequality above, we get

$$\frac{n_{1+j}}{n_j} \leq \frac{(1+2\varepsilon) \log M(\alpha)}{\log \alpha + (1+\varepsilon) \log |\alpha_2 \cdots \alpha_p|} =: F_2(\varepsilon), \quad (5.7)$$

consequently

$$\liminf_{j \rightarrow \infty} \frac{j}{\log n_j} \geq \frac{1}{\log F_2(\varepsilon)}.$$

Therefore by the first statement of Lemma 2.1

$$\liminf_{N \rightarrow \infty} \frac{\lambda_N(\alpha, \xi)}{\log N} \geq \frac{1}{\log F_2(\varepsilon)}.$$

Since  $\varepsilon$  is an arbitrary positive number, we proved the theorem. In fact,

$$\lim_{\varepsilon \rightarrow +0} \frac{1}{\log F_2(\varepsilon)} = \left( \log \left( \frac{\log M(\alpha)}{\log M(\alpha) - \log a_d} \right) \right)^{-1}.$$

□

*Proof of Theorem 1.1.* We may assume  $\xi \in [1/\alpha, 1)$ , and so  $s_n(\xi) = 0$  for any  $n \geq 0$ . Put

$$\xi' = \sum_{i=-\infty}^{-1} \alpha^i s_i(\xi). \quad (5.8)$$

By the second statement of Proposition 2.2, we have  $\xi' \notin \mathbb{Q}(\alpha)$ . Let  $p$  be the number of the conjugates of  $\alpha$  whose absolute values are greater than 1. Write the conjugates of  $\alpha$  by  $\alpha_1 = \alpha, \alpha_2, \dots, \alpha_d$ , where  $d$  is the degree of  $\alpha$ . Without loss of generality, we may assume that  $|\alpha_k| > 1$  for  $k = 1, 2, \dots, p$ .

First we show the following:

**LEMMA 5.1.** *There is a sequence of integers  $\mathbf{y} = (y_n)_{n=1}^{\infty}$  satisfying the following:*

1.  $y_n = 0$  or  $y_n = s_{-n}(\xi)$ ;
2.  $\sum_{i=1}^{\infty} y_i \alpha^{-i} = \xi'$ ;
3.  $\sum_{i=1}^{\infty} y_i \alpha_k^{-i} = 0$  for any  $k$  with  $2 \leq k \leq p$ ;
4. Put

$$\{n \geq 1 | y_n \neq 0\} =: \{n_1 < n_2 < \dots\}$$

and

$$\xi_j = \sum_{i=1}^{n_j} y_i \alpha^{-i}.$$

Then, for any  $h$  and  $l$  with  $h < l$ ,  $\xi_h \neq \xi_l$ .

*Proof.* We construct the bounded sequences of integers  $\mathbf{y}_m = (y(m, n))_{n=1}^{\infty}$  ( $m = 1, 2, \dots$ ) by induction on  $m$  fulfilling the following:

1. For any  $n \geq 1$ ,

$$y(m, n) = 0 \text{ or } y(m, n) = s_{-n}(\xi); \quad (5.9)$$

- 2.

$$\sum_{i=1}^{\infty} \alpha^{-i} y(m, i) = \xi'; \quad (5.10)$$

3. For any  $k$  with  $2 \leq k \leq p$ ,

$$\sum_{i=1}^{\infty} \alpha_k^{-i} y(m, i) = 0. \quad (5.11)$$

In particular, we have, for any  $m, n \geq 1$ ,

$$|y(m, n)| \leq |s_{-n}(\xi)| \leq \max\{L_+(\alpha), L_-(\alpha)\}.$$

Define  $\mathbf{y}_1 = (y(1, n))_{n=1}^{\infty}$  by

$$y(1, n) = s_{-n}(\xi) \quad (n \geq 1).$$

For  $m = 1$ , (5.9) and (5.10) hold. Moreover, (5.11) follows from the first statement of Proposition 2.2.

Next, assume that we have a sequence of integers  $\mathbf{y}_m$  with (5.9), (5.10), and (5.11) for  $m \geq 1$ . Let

$$\begin{aligned} \Xi_m &= \{n \geq 1 \mid y(m, n) \neq 0\} \\ &=: \{n(m, 1) < n(m, 2) < \dots\} \end{aligned}$$

and

$$\xi(m, j) = \sum_{i=1}^{n(m, j)} \alpha^{-i} y(m, i).$$

By (5.10) and  $\xi' \notin \mathbb{Q}(\alpha)$ ,  $\Xi_m$  is an infinite set. If  $\xi(m, h) \neq \xi(m, l)$  for any  $h \neq l$ , then  $\mathbf{y} = \mathbf{y}_m$  satisfies the last condition of Lemma 5.1. Moreover, first, second, and third conditions of Lemma 5.1 follow immediately from (5.9), (5.10), and (5.11). Otherwise, we define  $\mathbf{y}_{m+1}$  by using  $\mathbf{y}_m$ . There exists an  $h \geq 1$  such that  $\xi(m, h) = \xi(m, l)$  for some  $l > h$ . For such an  $h$ , write the minimal value by  $h_m$ . Put

$$\Lambda_m = \{l > h_m \mid \xi(m, l) = \xi(m, h_m)\}.$$

Then  $\Lambda_m$  is a finite set. In fact, if  $\Lambda_m$  is an infinite set, then

$$\xi' = \lim_{\substack{n \rightarrow \infty \\ n \in \Lambda_m}} \xi(m, n) = \xi(m, h_m) \in \mathbb{Q}(\alpha),$$

which contradicts to  $\xi \notin \mathbb{Q}(\alpha)$ . So let

$$l_m = \max \Lambda_m.$$

We define  $\mathbf{y}_{m+1} = (y(m+1, n))_{n=1}^{\infty}$  by

$$y(m+1, n) = \begin{cases} 0 & (\text{if } 1 + n(m, h_m) \leq n \leq n(m, l_m)), \\ y(m, n) & (\text{otherwise}). \end{cases}$$

Note that

$$\xi(m+1, j) = \begin{cases} \xi(m, j) & (\text{if } j \leq h_m) \\ \xi(m, j + l_m - h_m) & (\text{if } j > h_m). \end{cases} \quad (5.12)$$

Now we verify that  $\mathbf{y}_{m+1}$  fulfills (5.9), (5.10), and (5.11). (5.9) is obvious by the definition of  $\mathbf{y}_{m+1}$ . By the inductive hypothesis and

$$0 = \xi(m, l_m) - \xi(m, h_m) = \sum_{i=1+n(m, h_m)}^{n(m, l_m)} \alpha^{-i} y(m, i), \quad (5.13)$$

we get

$$\sum_{i=1}^{\infty} \alpha^{-i} y(m+1, i) = \sum_{i=1}^{\infty} \alpha^{-i} y(m, i) - \sum_{i=1+n(m, h_m)}^{n(m, l_m)} \alpha^{-i} y(m, i) = \xi'.$$

By taking the conjugate of (5.13), we deduce for any  $k$  with  $2 \leq k \leq p$  that

$$0 = \sum_{i=1+n(m, h_m)}^{n(m, l_m)} \alpha_k^{-i} y(m, i).$$

Thus

$$\begin{aligned} \sum_{i=1}^{\infty} \alpha_k^{-i} y(m+1, i) &= \sum_{i=1}^{\infty} \alpha_k^{-i} y(m, i) - \sum_{i=1+n(m, h_m)}^{n(m, l_m)} \alpha_k^{-i} y(m, i) \\ &= \sum_{i=1}^{\infty} \alpha_k^{-i} y(m, i) = 0. \end{aligned}$$

For the proof of Lemma 5.1 we may assume that, for any  $m \geq 1$ ,  $\mathbf{y} = \mathbf{y}_m$  is defined and does not satisfy the conditions of Lemma 5.1. We verify that  $h_{m+1} > h_m$  for each  $m \geq 1$ . It suffices to check for  $1 \leq h < l$  with  $h \leq h_m$  that

$$\xi(m+1, l) \neq \xi(m+1, h).$$

In the case of  $h < h_m$ , this follows from (5.12) and the definition of  $h_m$ . So consider the case of  $h = h_m$ . Since  $l + l_m - h_m > l_m$  we get

$$\xi(m+1, l) = \xi(m, l + l_m - h_m) \neq \xi(m, h_m) = \xi(m+1, h_m)$$

by the definition of  $l_m$ . Hence the sequence  $h_m$  ( $m = 1, 2, \dots$ ) is strictly increasing. In particular,  $h_m \geq m$ .

Let  $n \geq 1$ . Take an integer  $m$  with  $m \geq n$ . Note that

$$n \leq m \leq h_m \leq n(m, h_m).$$

So, by the definition of  $\mathbf{y}_{m+1}$ , we have  $y(m+1, n) = y(m, n)$ . Thus

$$y(m, n) = y(n, n) \text{ for any } m \geq n. \quad (5.14)$$

We define the sequence  $\mathbf{y} = (y_n)_{n=1}^{\infty}$  by

$$y_n = y(n, n).$$

In what follows we check the conditions of Lemma 5.1. The first condition is clear. Let  $m \geq 1$  be any integer. Then by (5.14)

$$\begin{aligned} \left| \xi' - \sum_{i=1}^{\infty} \alpha^{-i} y_i \right| &= \left| \sum_{i=1}^{\infty} \alpha^{-i} (y(m, i) - y(i, i)) \right| \\ &= \left| \sum_{i=m+1}^{\infty} \alpha^{-i} (y(m, i) - y(i, i)) \right| \\ &\leq 2 \max\{L_+(\alpha), L_-(\alpha)\} \frac{1}{(\alpha - 1)\alpha^m}. \end{aligned}$$

Similarly, for  $2 \leq k \leq p$ ,

$$\begin{aligned} \left| \sum_{i=1}^{\infty} \alpha_k^{-i} y_i \right| &= \left| \sum_{i=1}^{\infty} \alpha_k^{-i} (y(m, i) - y(i, i)) \right| \\ &= \left| \sum_{i=m+1}^{\infty} \alpha_k^{-i} (y(m, i) - y(i, i)) \right| \\ &\leq 2 \max\{L_+(\alpha), L_-(\alpha)\} \frac{1}{(\alpha_k - 1)\alpha_k^m}, \end{aligned}$$

where for the first equality we use (5.11). Since  $m$  is arbitrary, we obtain the second and third conditions.

Finally, assume that  $\xi_h = \xi_l$  for some  $h < l$ . Take an integer  $m$  with  $m > l$ . Then by (5.14)

$$\xi(m, h) = \xi_h = \xi_l = \xi(m, l).$$

By the definition of  $h_m$ , we get  $h_m \leq h < m$ , which contradicts to  $h_m \geq m$ . Therefore, the last condition follows.  $\square$

For  $N \geq 1$  put

$$\tau_N = \text{Card}\{n \in \mathbb{Z} | 1 \leq n \leq N, y_n \neq 0\}.$$

By the first condition of Lemma 5.1

$$\tau_N \leq \text{Card}\{n \in \mathbb{Z} | 1 \leq n \leq N, s_{-n}(\xi) \neq 0\}. \quad (5.15)$$

In what follows, we verify for all sufficiently large  $N$  that

$$\tau_N \geq c \frac{(\log \alpha)^2}{(\log M(\alpha))^2 (\log(6D))^{1/2}} \frac{(\log N)^{3/2}}{(\log \log N)^{1/2}}. \quad (5.16)$$

Theorem 1.1 follows from (5.15), (5.16), and the first statement of Lemma 2.1.

Put  $\mathbf{K} = \mathbb{Q}(\alpha)$ . Let  $\infty$  be the Archimedean place in  $\mathbf{K}$  which is defined by (4.2). In what follows, let  $C_1(\alpha, \xi)$ ,  $C_2(\alpha, \xi)$ ,  $\dots$  be positive constants depending only on  $\alpha$  and  $\xi$ . Put

$$C_1(\alpha, \xi) = \max\{L_+(\alpha), L_-(\alpha)\}^{1/d} \max_{1 \leq k \leq p} \left( \frac{1}{1 - |\alpha_k^{-1}|} \right)^{1/d}.$$

Then we have

$$\begin{aligned} 0 < \|\xi' - \xi_j\|_\infty &= \left| \sum_{i=n_{1+j}}^{\infty} y_i \alpha^{-i} \right|^{1/d} \\ &\leq C_1(\alpha, \xi) \|\alpha\|_\infty^{-n_{1+j}}. \end{aligned} \quad (5.17)$$

Let  $\varepsilon$  be an arbitrary positive number with  $\varepsilon \leq 1/2$  and  $F_2(\varepsilon)$  be defined by (5.7). In the same way as the proof of Theorem 1.2, we can verify that

$$\frac{n_{1+j}}{n_j} \leq F_2(\varepsilon)$$

for sufficiently large  $j$ . In particular, since

$$\lim_{\varepsilon \rightarrow +0} F_2(\varepsilon) = 1,$$

we get, for  $j \geq C_2(\alpha, \xi)$ ,

$$n_{1+j} \leq 2n_j. \quad (5.18)$$

We count the numbers of  $j$  fulfilling

$$n_{1+j} \geq (1 + 2\varepsilon)n_j. \quad (5.19)$$

Assume (5.19) and

$$n_j \geq C_3(\alpha, \xi) \varepsilon^{-9/8}. \quad (5.20)$$

We determine  $C_3(\alpha, \xi)$  later. Let

$$S = \mathcal{M}_{arc}(\mathbf{K}) \cup \{v \in \mathcal{M}_{non}(\mathbf{K}) \mid \|\alpha\|_v < 1\}.$$

Define the linear forms  $L_{i,v}$  ( $v \in S$ ,  $i = 1, 2$ ) by

$$\begin{aligned} L_{1v} &= \begin{cases} X - \xi' Y & \text{for } v = \infty, \\ X & \text{for } v \in S \setminus \{\infty\}, \end{cases} \\ L_{2v} &= Y \text{ for } v \in S. \end{aligned}$$

Then (4.3) is satisfied with  $R = 3$ ,  $D = [\mathbb{Q}(\alpha, \xi) : \mathbb{Q}(\alpha)]$ , and  $H = H(\xi)$ . Consider the system of inequalities (4.5) with

$$\begin{aligned} e_{1v} &= \begin{cases} -(5\varepsilon)/4 & \text{for } v = \infty, \\ \varepsilon/(4d') & \text{for } v \in \mathcal{M}_{arc}(\mathbf{K}) \setminus \{\infty\}, \\ 0 & \text{for } v \in \mathcal{M}_{non}(\mathbf{K}) \cap S, \end{cases} \\ e_{2v} &= (\log \|\alpha\|_v) / (\log \|\alpha\|_\infty), \text{ for } v \in S \\ \Psi(x, y) &= \|y\|_\infty, \end{aligned}$$

where  $d' = \text{Card}(\mathcal{M}_{arc}(\mathbf{K}) \setminus \{\infty\})$ . Then (4.4) follows from the product formula. Apply Proposition 4.1 with

$$\mathbf{x}_j = \left( \sum_{i=1}^{n_j} y_i \alpha^{-i+n_j}, \alpha^{n_j} \right) \in O_{\mathbf{K}}^2.$$

If  $C_3(\alpha, \xi)$  is sufficiently large, then (4.6) follows from (5.20). In fact,

$$\log \Psi(\mathbf{x}_j) = n_j \log \|\alpha\|_\infty > \max\{\log(2H), \frac{4}{\varepsilon} \log 2\}.$$

We check that  $\mathbf{x}_j$  satisfies the system of inequalities (4.5). If  $i = 2$ , then

$$\begin{aligned} \|L_{2v}(\mathbf{x}_j)\|_v &= \|\alpha^{n_j}\|_v = \|\alpha^{n_j}\|_\infty^{(\log \|\alpha\|_v)/(\log \|\alpha\|_\infty)} \\ &= \Psi(\mathbf{x}_j)^{e_{2v}}. \end{aligned}$$

In the case of  $v \in S \cap \mathcal{M}_{non}(\mathbf{K})$ , by the ultrametric inequality

$$\|L_{1v}(\mathbf{x}_j)\|_v = \left\| \sum_{i=1}^{n_j} y_i \alpha^{-i+n_j} \right\|_v \leq 1 = \Psi(\mathbf{x}_j)^{e_{1v}}.$$

Now we show that

$$n_j^{1/d} C_1(\alpha, \xi) \leq \|\alpha^{n_j}\|_\infty^{\varepsilon/(4d')} = \Psi(\mathbf{x}_j)^{\varepsilon/(4d')}. \quad (5.21)$$

(5.21) is equivalent to

$$\frac{4d'}{\log \|\alpha\|_\infty} \left( \frac{1}{d} + \frac{\log C_1(\alpha, \xi)}{\log n_j} \right) \leq \frac{\varepsilon n_j}{\log n_j}.$$

In what follows, constants implied by the Vinogradov symbols  $\ll, \gg$  are absolute. If  $n_j \geq C_3(\alpha, \xi) \varepsilon^{-9/8}$ , then

$$\frac{\varepsilon n_j}{\log n_j} \gg \varepsilon n_j^{8/9} \geq C_3(\alpha, \xi)^{8/9}.$$

Thus, if  $C_3(\alpha, \xi)$  is sufficiently large, then (5.21) follows. By (5.17), (5.19), and (5.21), we get

$$\begin{aligned} \|L_{1\infty}(\mathbf{x}_j)\|_\infty &= \|\alpha^{n_j}\|_\infty \|\xi_j - \xi'\|_\infty \\ &\leq C_1(\alpha, \xi) \|\alpha\|_\infty^{n_j - n_{1+j}} \leq C_1(\alpha, \xi) \|\alpha^{n_j}\|_\infty^{-2\varepsilon} \\ &\leq \|\alpha^{n_j}\|_\infty^{-(5\varepsilon)/4} = \Psi(\mathbf{x}_j)^{-(5\varepsilon)/4}. \end{aligned}$$

Let  $v \in \mathcal{M}_{arc}(\mathbf{K}) \setminus \{\infty\}$ . Then there exists an embedding  $\sigma : \mathbf{K} \hookrightarrow \mathbb{C}$  such that

$$\|x\|_v = \|\sigma(x)\|_\infty$$

for any  $x \in \mathbf{K}$ . Let  $\sigma(\alpha) = \alpha_k$ , where  $2 \leq k \leq d$ . If  $2 \leq k \leq p$ , then by (5.21) and the third condition of Lemma 5.1

$$\begin{aligned} \|L_{1v}(\mathbf{x}_j)\|_v &= \left\| \sum_{i=1}^{n_j} y_i \alpha_k^{-i+n_j} \right\|_\infty = \|\alpha_k^{n_j}\|_\infty \left\| \sum_{i=n_{1+j}}^{\infty} y_i \alpha_k^{-i} \right\|_\infty \\ &\leq C_1(\alpha, \xi) \|\alpha_k^{n_j - n_{1+j}}\|_\infty \\ &\leq C_1(\alpha, \xi) \leq \Psi(\mathbf{x}_j)^{\varepsilon/(4d')} = \Psi(\mathbf{x}_j)^{e_{1v}}. \end{aligned}$$



In the case of  $k \geq p+1$ , by using  $|\alpha_k| \leq 1$  and (5.21), we obtain

$$\begin{aligned} \|L_{1v}(\mathbf{x}_j)\|_v &= \left\| \sum_{i=1}^{n_j} y_i \alpha_k^{-i+n_j} \right\|_\infty \\ &\leq |n_j \max\{L_+(\alpha), L_-(\alpha)\}|^{1/d} \leq n_j^{1/d} C_1(\alpha, \xi) \\ &\leq \Psi(\mathbf{x}_j)^{\varepsilon/(4d')} = \Psi(\mathbf{x}_j)^{e_{1v}}. \end{aligned}$$

Since

$$\begin{aligned} A &= 1 + \sum_{v \in S} \max\{0, e_{1v}, e_{2v}\} \\ &\leq 1 + \frac{\varepsilon}{4} + \sum_{k=1}^p \frac{\log \|\alpha_k\|_\infty}{\log \|\alpha\|_\infty} \ll \frac{\log M(\alpha)}{\log \alpha}. \end{aligned}$$

Proposition 4.1 indicates that the vectors  $\mathbf{x}_j$  satisfying (5.19) and (5.20) lie in

$$\ll \left( \frac{\log M(\alpha)}{\log \alpha} \right)^4 \varepsilon^{-3} \log(6D) \log(\varepsilon^{-1} \log(6D))$$

one-dimensional linear subspaces of  $\mathbf{K}^2$ . By the last condition of Lemma 5.1, if  $j \neq l$ , then  $\mathbf{x}_j$  and  $\mathbf{x}_l$  are linearly independent over  $\mathbf{K}$ . Thus we obtain

$$\begin{aligned} \text{Card}\{j \geq 0 | n_j \geq C_3(\alpha, \xi) \varepsilon^{-9/8}, n_{1+j} \geq (1+2\varepsilon)n_j\} \\ \ll \left( \frac{\log M(\alpha)}{\log \alpha} \right)^4 \varepsilon^{-3} \log(6D) \log(\varepsilon^{-1} \log(6D)). \end{aligned} \quad (5.22)$$

Let  $j_1$  be the smallest  $j$  such that  $n_j \geq C_2(\alpha, \xi)$  and  $J$  an integer with

$$J > \max\{n_{j_1}^3, 2^{12} C_3(\alpha, \xi)^{12}\}. \quad (5.23)$$

Moreover, let  $j_2$  be the largest integer with  $n_{j_2} \leq 2C_3(\alpha, \xi) J^{5/12}$ . Then since

$$n_{j_1} \leq J^{1/3} \leq 2C_3(\alpha, \xi) J^{5/12},$$

we get

$$n_{j_2} \geq n_{j_1} \geq C_2(\alpha, \xi). \quad (5.24)$$

So by (5.18)

$$n_{j_2} \geq \frac{n_{1+j_2}}{2} \geq C_3(\alpha, \xi) J^{5/12}.$$

For a positive integer  $u(\geq 2)$ , put

$$\varepsilon_1 = \frac{(\log(6D))^{1/3} (\log M(\alpha))^{4/3}}{(\log \alpha)^{4/3}} \left( \frac{\log J}{J} \right)^{1/3}, \quad \varepsilon_u = \frac{1}{2}.$$

Note that if  $C_3(\alpha, \xi)$  is sufficiently large, then  $\log(\varepsilon_1^{-1}) \geq \log(6D)$ . Next, let  $\varepsilon_2, \dots, \varepsilon_{u-1}$  be any reals satisfying

$$\varepsilon_1 < \varepsilon_2 < \dots < \varepsilon_{u-1} < \varepsilon_u.$$

Then we have

$$n_{j_2} \geq C_3(\alpha, \xi) \varepsilon_h^{-9/8} \quad (5.25)$$

for  $h = 1, \dots, u$ . In fact,

$$n_{j_2} C_3(\alpha, \xi)^{-1} \varepsilon_h^{9/8} \geq J^{5/12} \varepsilon_1^{9/8} \geq J^{5/12} \cdot J^{-3/8} \geq 1.$$

Let  $\mathcal{S}_0 = \{j_2, 1+j_2, \dots, J\}$  and, for  $h = 1, \dots, u$ , let  $\mathcal{S}_h$  denote the set of positive integers  $j$  such that  $j_2 \leq j < J$  and  $n_{1+j} \geq (1 + 2\varepsilon_h)n_j$ . Moreover, write the cardinality of  $\mathcal{S}_h$  by  $T_h$  for  $h = 1, \dots, u$ . Then  $\mathcal{S}_0 \supset \mathcal{S}_1 \supset \dots \supset \mathcal{S}_u$ . If  $j \in \mathcal{S}_0$ , then by (5.24) and (5.25) we have

$$\frac{n_{1+j}}{n_j} \leq 2$$

and

$$n_j \geq C_3(\alpha, \xi) \varepsilon_h^{-9/8} \text{ for } h = 1, \dots, u.$$

Thus we get

$$\begin{aligned} \frac{n_J}{n_{j_2}} &= \frac{n_J}{n_{-1+J}} \frac{n_{-1+J}}{n_{-2+J}} \dots \frac{n_{1+j_2}}{n_{j_2}} \\ &\leq \left( \prod_{j \in \mathcal{S}_u} \frac{n_{1+j}}{n_j} \right) \prod_{h=0}^{u-1} \left( \prod_{j \in \mathcal{S}_h \setminus \mathcal{S}_{1+h}} \frac{n_{1+j}}{n_j} \right) \\ &\leq 2^{T_u} (1 + 2\varepsilon_1)^J \prod_{h=1}^{u-1} (1 + 2\varepsilon_{h+1})^{T_h - T_{1+h}}. \end{aligned}$$

Taking logarithms, we obtain

$$\begin{aligned} \log \left( \frac{n_J}{n_{j_2}} \right) &\leq T_u \log 2 + 2\varepsilon_1 J + \sum_{h=1}^{u-1} 2\varepsilon_{1+h} (T_h - T_{1+h}) \\ &\leq T_u \log 2 + 2\varepsilon_1 J + 2\varepsilon_2 T_1 + 2 \sum_{h=2}^{u-1} (\varepsilon_{1+h} - \varepsilon_h) T_h - T_u. \end{aligned}$$

(5.22) implies

$$T_h \ll \left( \frac{\log M(\alpha)}{\log \alpha} \right)^4 \log(6D) \varepsilon_h^{-3} \log(\varepsilon_h^{-1} \log(6D))$$

for  $h = 1, \dots, u$ , and so

$$\begin{aligned} \log \left( \frac{n_J}{n_{j_2}} \right) &\ll \varepsilon_1 J + \left( \frac{\log M(\alpha)}{\log \alpha} \right)^4 \log(6D) \\ &\quad \times \left( \log(\log(6D)) + \varepsilon_2 \varepsilon_1^{-3} \log(\varepsilon_1^{-1} \log(6D)) \right. \\ &\quad \left. + \sum_{h=2}^{u-1} (\varepsilon_{1+h} - \varepsilon_h) \varepsilon_h^{-3} \log(\varepsilon_h^{-1} \log(6D)) \right) \end{aligned}$$

If  $u$  tends to infinity and if  $\max_{1 \leq h \leq u-1} (\varepsilon_{1+h} - \varepsilon_h)$  tends to zero, then the sum converges to a Riemann integral, so

$$\begin{aligned} & \lim_{u \rightarrow \infty} \sum_{h=2}^{u-1} (\varepsilon_{1+h} - \varepsilon_h) \varepsilon_h^{-3} \log(\varepsilon_h^{-1} \log(6D)) \\ &= \int_{\varepsilon_1}^{1/2} x^{-3} \log(x^{-1} \log(6D)) dx \\ &\ll \varepsilon_1^{-2} \log(\varepsilon_1^{-1}) + \log(\log(6D)) \varepsilon_1^{-2} \ll \varepsilon_1^{-2} \log(\varepsilon_1^{-1}). \end{aligned}$$

Thus

$$\begin{aligned} \log \left( \frac{n_J}{n_{j_2}} \right) &\ll \varepsilon_1 J + \left( \frac{\log M(\alpha)}{\log \alpha} \right)^4 \log(6D) \varepsilon_1^{-2} \log(\varepsilon_1^{-1}) \\ &\ll \left( \frac{\log M(\alpha)}{\log \alpha} \right)^{4/3} (\log(6D))^{1/3} J^{2/3} (\log J)^{1/3}, \end{aligned}$$

where for the second inequality we use  $\log(\varepsilon_1^{-1}) \leq \log J$ . By using (5.23) and the definition of  $j_2$  we obtain

$$\frac{n_{j_2}}{n_J^{1/2}} \leq \frac{n_{j_2}}{J^{1/2}} \leq 2C_3(\alpha, \xi) J^{-1/12} \leq 1,$$

and so

$$\log n_J \ll \left( \frac{\log M(\alpha)}{\log \alpha} \right)^{4/3} (\log(6D))^{1/3} J^{2/3} (\log J)^{1/3} =: G(J).$$

Hence

$$J \gg \frac{(\log \alpha)^2}{(\log M(\alpha))^2 (\log(6D))^{1/2}} \frac{(\log n_J)^{3/2}}{(\log \log n_J)^{1/2}}.$$

In fact, since the function  $x^{3/2}(\log x)^{-1/2}$  is monotone increasing for  $x > e$ ,

$$\frac{(\log n_J)^{3/2}}{(\log \log n_J)^{1/2}} \ll \frac{(\log G(J))^{3/2}}{(\log \log G(J))^{1/2}} \ll \left( \frac{\log M(\alpha)}{\log \alpha} \right)^2 (\log(6D))^{1/2} J.$$

Therefore, we proved the theorem.  $\square$

## Acknowledgements

I am very grateful to Prof. Masayoshi Hata for careful reading and for improving the language of this paper. I would like to thank Prof. Shigeki Akiyama for useful suggestions. I am sincerely grateful to Prof. Yann Bugeaud for giving me fruitful information about the reference paper [9, 10, 19]. This work is supported by the JSPS fellowship.

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